

# RHODE ISLAND DEPARTMENT OF HEALTH WORKFORCE DEVELOPMENT PLAN

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Training and development of the workforce is one key component of the Rhode Island Department of Health (HEALTH)'s strategy toward a culture of quality improvement. Fundamental to this work is identifying gaps in knowledge, skills, and abilities through the assessment of both organizational and individual needs, and addressing those gaps through targeted training and development opportunities.

This document describes the 2013-2014 training plan for HEALTH. In addition, this document addresses the documentation requirement for Standard 8.2.1 of the Public Health Accreditation Board:

"Maintain, implement and assess the health department workforce development plan that addresses the training needs of the staff and the development of core competencies."

Approved by:

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#### **EXECUTIVE SUMMARY**

The Rhode Island Department of Health (HEALTH) is one of five state agencies that are part of a the Executive Office of Health and Human Services (OHHS), that maintain responsibility for cross-cutting functions, including Human Resources, Legal Services and Finance. In late 2011, HEALTH made its priority to apply for Public Health Accreditation through the recently launched program to certify public health agencies, and overseen by the Public Health Accreditation Board (PHAB). With this in mind, and with funding from the Centers for Disease Control and Prevention (CDC), HEALTH embarked into an effort to prepare for Accreditation. This meant a thorough review of its operations and documentation gathering as evidence of compliance with the PHAB standards, based on the three Core Functions of Public Health: assessment, assurance and policy development.

The benefits of accreditation preparation are many. In Rhode Island, we have engaged our staff in Quality Improvement efforts and will soon be training our third annual cohort in the use of the Plan, Do, Study Act (PDSA) method. We have also taken the opportunity to formalize procedures that have been in use for many years, and further document agency's operations and make those protocols widely available to staff. And we have taken especial care to ensure we have a consistent and ongoing mechanism to quantify, track and begin evaluating training offered to staff, all of which is summarized in this document.

Our "Workforce Development Plan" describes how HEALTH has aligned its already overstretched resources to ensure there is a vision and strategy to train our staff. We are already on our way to establish a uniform and ongoing orientation for new hires. We are also presenting our strategy to keep our long-time employees informed and engaged with the topics they deal with on a daily basis, like confidentiality, ethics and cultural knowledge. We are expanding the use of our learning management system TRAIN (TrainingFinder Real-Time Affiliate Integrated Network), and making it accessible to all staff. We are instituting an evaluation component for every training offered and tracked through TRAIN. And we will revisit the plan every year, at the time when we issue our annual report of activities.

We are enthusiastic about both, the short term as well as the long term results of our workforce development plan. We will be bringing refresher trainings to our staff, but we are also happy to start our new hires in a year-long effort to bring them "on-board". We believe in mentoring and sharing, and we are encouraging our employees to share their knowledge and expertise with their colleagues. Because we are "better together", we are investing in all employees, expanding the knowledge and making improvements all around us. And together we can make public health real, tangible, by collecting data, making policy and assuring those policies are benefiting the people we serve.

Thanks to the Workforce Development Committee and the Human Resources staff for their fabulous support in the design of this plan, and thanks to our employees for their continuous commitment to public health.

### I. Agency Profile

### **Location & population served**

HEALTH facilities are located in the Cannon Building, at Three Capitol Hill in the city of Providence, Rhode Island, in Providence County. The Laboratories are in the Chapin Building, at 50 Orms Street, just down the street from the main building. In Rhode Island, County government was abolished in 1842 and today remains only for the purpose of delineating judicial administrative boundaries.

According to the most recent census data, the current population of Rhode Island is 1,050,292. There are no local public health agencies in Rhode Island; all public health services are managed by the State Department of Health.

#### Mission and Vision

The primary mission of HEALTH is to prevent disease and to protect and promote the health and safety of the people of Rhode Island. Our vision is that every Rhode Islander should have access to high quality, affordable health care, delivered at the most appropriate time and place.

#### Governance

HEALTH is part of the Executive Office of Health and Human Services (EOHHS). EOHHS was created on December 2005, to facilitate cooperation and coordination among the state departments that administer Rhode Island's health and social services programs.

Agencies under the EOHHS umbrella include: Department of Children, Youth and Families (DCYF), Department of Human Services (DHS), Division of Elderly Affairs (DEA), Division of Veteran Affairs (VA), Department of Behavioral Healthcare, Developmental Disabilities and Hospitals (BHDDH), and the Department of Health (HEALTH). These departments collectively impact the lives of virtually all Rhode Islanders, providing direct services and benefits to over 300,000 citizens while working to protect the overall health, safety and independence of all Rhode Islanders.

Michael Fine, MD has served as Director of HEALTH since July 2011. In this role, Dr. Fine oversees the single state agency, with over 400 employees and an operating budget of \$110 million, and is responsible for coordinating a broad range of public health programs and services.

### Organizational structure

HEALTH is led by the director and HEALTH's Executive Committee (EC). The EC is formed by sixteen individuals and includes those with a key role in the agency's functions, such as the Chief Legal Counsel, the Legislative Liaison, Medical Directors, Chief of Medical and Licensure Board and the Performance Improvement Manager.

As Rhode Island has no local health departments, the agency coordinates public health activities across the state. All programs and services are coordinated by Divisions and Centers (see Organizational Chart in Appendix 1). Main areas of responsibility include:

- Division of Community, Family Health, & Equity- Works to eliminate disparities in health and access to care, to ensure healthy homes and environments, to prevent and control diseases and disability, to promote health and wellness activities, and to support early childhood development.
- Center of Emergency Preparedness & Response- Protects health during catastrophic events and large-scale disasters and emergencies by coordinating education, assessment, planning, response, and support services with healthcare providers, public safety agencies, and government officials.
- Division of Environmental Health Services Regulation- Licenses and regulates health professionals, facilities and health plans; monitors the safety of public drinking water and beaches; and assures the safety of the food supply and of radiological equipment.
- Center of Health Data & Analysis- Collects and analyzes health data about Rhode Islanders and uses the data to identify health problems among the state's population and groups.
- Health Information Technology Promotes and supports the use of health information technology across the state, including electronic medical records, e-prescribing, and the development of a statewide health information exchange.
- Health Laboratories- Provides analytical surveillance, prevention, and technical laboratory information to support disease surveillance, prevention, and control, environmental health protection, food safety, and emergency response activities.
- Division of Infectious Disease & Epidemiology- Monitors the prevalence of disease in the community and investigates, controls, and prevents outbreaks.
- Management Services- Manages and delivers efficient purchasing, finance, and systems support services to the Department in an equitable, effective, proficient and courteous manner.
- Office of the Medical Examiner's- Screens deaths for public health significance and determines the cause and manner of deaths. Public Health Communications- Provides high-quality, timely, and accurate health information for the public so they can understand health risks and make healthy and safe choices.
- Vital Records- Registers, files, and maintains birth, death, and marriage certificates and publishes related data.

#### Workforce Goals

HEALTH has formulated a broad vision for workforce and professional development, as depicted in the Logic Model in Appendix 2, approved by the Director and Executive Committee in February 2013. The Department has drawn the short-term, intermediate, and long-term outcomes and presents them in parallel tracks, one for developing its

workforce (the entire body of employees working for the agency), and one for developing the individual, professional dimension of the staff employed in the agency.

Thus, the long-term outcomes are:

**Workforce Development:** Ensure the workforce is trained to meet the health needs of the population.

**Professional Development:** Ensure that HEALTH maintains a system to promote staff retention and career and professional development.

#### Resources

As part of the Executive Office of Health and Human Services (EOHHS), HEALTH has access to centralized services managed by EOHHS. The coordination and planning for training and staff development are functions that administratively fall under EOHHS'S Human Resources along with Legal and Fiscal. There are no personnel currently assigned to training at EOHHS; services are therefore limited, as all state government has been seriously affected by continuous budget cuts in the last few years. HEALTH's future plans include hiring a Workforce Development Manager. Until funding is identified for this role, training efforts at HEALTH are managed by the following: staff working on the public health accreditation efforts, staff who participate in relevant committees, and other interested individuals within the agency.

### II. Training in RI State Government

### State Employees Training

The Rhode Island Department of Administration, Office of Training and Development (OTD) oversees the Incentive In-Service Training Program whereby state employees successfully completing an approved 4-credit curriculum will receive a salary increase. Credits may be accrued for job-related courses sponsored by the OTD, as well as courses offered through outside accredited institutions. Employees must be pre-approved by the OTD to attend courses for incentive credit. Note that there are limitations and specific conditions on the courses that are eligible for incentive credit. Additional information on courses, forms, and course schedules are available to RI state employees at <a href="http://www.admin.ri.gov/otd/">http://www.admin.ri.gov/otd/</a>.

In addition, the State of Rhode Island requires some training for all state employees, as described in Table below.

Training	Who	Frequency	Trainer/Source
Three-hour session for	Newly hired	Once, within the first	RI Department of
Equal Opportunity,	state	few months after	Administration,
Harassment/Sexual	employees,	becoming an	Human
Harassment, and	required to take	employee of the state	Resources
Diversity	within the first	of Rhode Island	Outreach and
	year of		Diversity Office
	employment		J
Job-required training	Specific job	As required for the job	Varies by job
(e.g., industrial	classifications	(Note that courses or	5 5
hygienists to be		training that are a	
trained as lead		condition to the job	
inspectors, OSHA		are not eligible for	
required training for		incentive credit)	
OSHA Consultants)		,	

Table 1: Training required by employees of the State of Rhode Island

#### III. HEALTH's Workforce

#### **Profile**

Every year the State of Rhode Island's Human Resources Office prepares a "Job Group Analysis Summary", which is part of the annual Equal Employment Opportunity (EEO) Plan. Below is the Job Group Analysis Summary, which accounts for 392 total employees, with 16% of them self-reported as minorities.

EEO Job Categories	Total Employees As of 6/30/12	Total Minorities	%
Officials/Managers/Administrators	60	10	17%
Professionals	239	44	18%
Faculty	0	0	0
Technicians	46	1	2%
Protective Services	0	0	0
Para-Professionals	7	1	14%
Administrative Support	38	8	21%
Skilled Craft	0	0	0
Service Maintenance	2	0	0%
GRAND TOTAL	392	64	16%

Table 2: EEO Job Categories for the employees of the RI Department of Health as of 6/30/2012

There is an additional summary prepared also by the Human Resources Office, which breaks down the proportion of employees in four categories: White Male, Minority, Female, Disabled. This report is compiled by the Human Resources Office as documentation required by the Annual Affirmative Action Plan, and is [partially] included below.

# Annual Affirmative Action Statistical Summary

EEO-4	CURRENT YEAR, as of 6/30/2012					LAST YEAR'S WORKFORCE, as of 6/30/2011				0/2011
Job Categories	TOTAL EMPLOYEES	WHITE MALE	MINORITY	FEMALE	DISABLED	TOTAL EMPLOYEES	WHITE MALE	MINORITY	FEMALE	DISABLED
Officials/Managers Administrators	60	20	10	39		60	22	10	37	
Professionals	239	60	44	168	1	246	58	43	176	1
Technicians	46	23	1	23		47	22	3	24	
Para-Professionals	7	2	1	5		7	2	1	5	
Administrative Support	38	1	8	36		44	1	9	42	
Service Maintenance	2	2	0	0		3	2	0	1	
Total Employees	392	108	64	271	1	407	107	66	285	1

 $Table \ 3: Statistical \ Summary \ of \ RI \ Department \ of \ Health's \ employees, as \ of \ 6/30/2012, compared \ to \ 6/30/2011$ 

### IV. HEALTH's Training Principles and Structure

### Public Health Competencies<sup>i</sup>

The Core Competencies are a set of skills desirable for the broad practice of public health, reflecting the characteristics that staff of public health organizations may want to have as they work to protect and promote health in the community (i.e., deliver the Essential Public Health Services). As stated in the Public Health Foundation's website, the core competencies are designed to "serve as a starting point for academic and practice organizations to understand, assess, and meet training and workforce needs".

These competencies have been formulated based on research, and have been vetted widely, with input and discussions held nationally, with the participation with experts in the field. HEALTH, as well as hundreds of other health departments in the nation, has adopted the Public Health competencies as a model to assess the competencies of the workforce as a whole. HEALTH uses two sets of competencies for its workforce, as detailed below. Both sets of competencies guide the work of public health, but one emphasizes the goals of Public Health Preparedness and Response.

#### • Public Health Core Competencies".

For purposes of its Workforce and Professional Development Plan, HEALTH adopted the eight (8) Public Health Core Competencies, from the *Council on Linkages Core Competencies for Public Health Professionals.* These competencies are listed below.

- 1. Analytical/Assessment Skills
- 2. Policy development/Program Planning Skills
- 3. Communication Skills
- 4. Cultural Competency Skills
- 5. Community Dimensions of Practice Skills
- 6. Public Health Sciences Skills
- 7. Financial Planning and Management Skills
- 8. Leadership and Systems Thinking Skills

In addition, the Council on Linkages classifies public health workers in three tiers, which are described in the next paragraphs. [Please note that these competencies and the tiers are designed to provide a broad categorization of the workforce, and don't necessarily reflect categories of any given health department's job specifications.] These tiers reflect stages of public health career development:

**Tier 1 (Entry Level) Core Competencies** apply to public health professionals who carry out the day-to-day tasks of public health organizations and are not in management positions. Responsibilities of these public health professionals may include basic data collection and analysis, fieldwork, program planning, outreach activities, programmatic support, and other organizational tasks.

**Tier 2 (Mid Tier) Core Competencies** apply to individuals with program management and/or supervisory responsibilities. Other responsibilities may include: program development, program implementation, program evaluation, establishing and maintaining community relations, managing timelines and work plans, presenting arguments and recommendations on policy issues, etc.

**Tier 3 (Senior Management/Executive Level) Core Competencies** apply to individuals at a senior/management level and leaders of public health organizations. In general, an individual who is responsible for the major programs or functions of an organization, setting a strategy and vision for the organization, and/or building the organization's culture can be considered a Tier 3 public health professional. Tier 3 public health professionals (e.g., health officers, executive directors, CEOs, etc.) typically have staff who report to them.

#### • Public Health Preparedness and Response Core Competencies iii

The Public Health Preparedness and Response Core Competencies (see core competencies model, map and details in Appendix 3) were created to establish a common performance goal for the public health preparedness workforce. This goal is defined as the ability to proficiently perform assigned prevention, preparedness, response, and recovery role(s) in accordance with established national, state, and local health security and public health policies, laws, and systems. Much of an individual's ability to meet this performance goal is based on competencies acquired from three sources: foundational public health competencies, generic health security or emergency core competencies, and position-specific or professional competencies.

There are also competencies within the following four domains that are critical to build and sustain the capacity of public health workers to fulfill their responsibilities:

### 1. Model Leadership

- 1.1 Solve problems under emergency conditions.
- 1.2 Manage behaviors associated with emotional responses in self and others.
- 1.3 Facilitate collaboration with internal and external emergency response partners.
- 1.4 Maintain situational awareness.
- 1.5 Demonstrate respect for all persons and cultures.
- 1.6 Act within the scope of one's legal authority.

#### 2. Communicate and Model Information

- 2.1 Manage information related to an emergency.
- 2.2 Use principles of crisis and risk communication.
- 2.3 Report information potentially relevant to the identification and control of an emergency through the chain of command.
- 2.4 Collect data according to protocol.

• 2.5 Manage the recording and/or transcription of data according to protocol.

#### 3. Plan for and Improve Practice

- 3.1 Contribute expertise to a community hazard vulnerability analysis (HVA).
- 3.2 Contribute expertise to the development of emergency plans.
- 3.3 Participate in improving the organization's capacities (including, but not limited to programs, plans, policies, laws, and workforce training).
- 3.4 Refer matters outside of one's scope of legal authority through the chain of command.

#### 4. Protect Worker Health and Safety

- 4.1 Maintain personal/family emergency preparedness plans.
- 4.2 Employ protective behaviors according to changing conditions, personal limitations, and threats.
- 4.3 Report unresolved threats to physical and mental health through the chain of command.

### Training Principles

Training and developing employees are important functions to HEALTH and its employees may attend training in different formats: in class, via webinar, online training, in a single session or in several sessions, for school credit, for incentive credit or no credit, for CEU (continuing education units), etc. To make the most of the valuable training resources the agency has for this purpose, HEALTH is implementing the following principles:

- **TRAIN** (TrainingFinder Real-Time Affiliate Integrated Network) is HEALTH's Learning Management System, where all training will be tracked and evaluated (see more about TRAIN in the section below). To keep the information flow consistent across training, all instructors providing training to staff will be asked to identify the core competencies and use the Guiding Principles detailed in the "Instructor Packet", included in Appendix 4. Putting this practice in place will help to consistently design and track course content, description, and evaluations, while connecting them to our training evaluation goals.
- Adult Learning Principles must be used in all training offered to HEALTH employees. As described by Knowles in his 1978 book, "The adult learner: a neglected species" the adult learning principles are:

1. Adults take responsibility for learning  2. Adults experier resource learners	Would bein	4. Adults want to have an immediate application of what they learn	5. Adults learning is centered on problem solving
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Figure 1: The 5 adult learning principles.

- **Evaluation** is a critical part of training if progress is going to be measured. Although no specific evaluation model or formal strategy is currently in use, the Kirkpatrick model of training evaluation will be utilized. This model, also known as the "4 levels" model of training evaluation, as listed below:
  - Level 1: Evaluating learners' satisfaction with the training,
  - Level 2: Evaluating the learning: the principles, facts and techniques learned,
  - Level 3: Evaluating the changes in job behavior that resulted from the training, and,
  - Level 4: Evaluating the organizational results, in terms of cost reduction and quality and quantity improvements.

HEALTH will focus on evaluating levels 1 and 2 of the Kirkpatrick's model: evaluate the trainees' satisfaction and the skills learned.

### HEALTH's Workforce Development Advisory Group

This group is composed of six individuals who represent management and staff, to address the requirements of accreditation and emergency preparedness, and to provide expertise in human resources and workforce development. The individuals are invited and/or appointed by the Director of HEALTH and will be charged to a) recommend strategic direction of the Training Plan, and b) review and approve operational issues relative to the Training Plan.

### TRAIN, the Learning Management System

Rhode Island uses <u>TRAIN</u> (TrainingFinder Real-time Affiliated Integrated Network) as its learning management system, which has been created with funding from the Centers for Disease Control and Prevention (CDC), the Robert Wood Johnson Foundation (RWJF), and the Health Resources and Services Administration (HRSA), among others, and is in use in many other states.

TRAIN is a centralized, searchable database of courses relevant to public health, safety, and emergency preparedness. It has a comprehensive catalog of distance learning for public health professionals, containing over 28,000 course listings from over 4,000 providers of training. Through TRAIN, learners have access to courses from nationally recognized course providers, and courses are offered in the forms of web-based learning, on-site learning, webinars/webcasts, and more. Learners can browse this course catalog or perform a search by keyword, subject area, course provider, and competency, among others.

TRAIN also allows the creation of an online transcript. This personal transcript, maintained for every learner, records all training for that learner. TRAIN also has a solid report generation capacity to track courses completed, annual plans, evaluations, and more.

For purposes of tracking in TRAIN, training is classified as shown in the table below.

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	Must be tracked in TRAIN	Optional, employee-driven tracking
Overarching Topics	Public Health Core Competencies <sup>iv</sup>	Additional Learning
FOR CURRENT EMPLOYEES:  QI 101 PH 101 ICS	Analytical/Assessment Skills     (eg, epidemiology, data analysis, use of MS Access, data analysis software)      Policy development/Program planning skills     (eg., public health law, program management, evaluation, informatics, QI implementation, program evaluation)	Includes training received for which a certificate or other relevant documentation can
FOR NEW EMPLOYEES: Employee	3. Communication Skills (eg., public speaking, presentations, message design, written and oral communication)	be provided and the employee uploads it into TRAIN —
On-boarding (see note at the bottom of this table)	4. Cultural Competency Skills (eg., interaction with people from diverse backgrounds, cultural, social and behavioral factors, culturally sensitive programs' services)  5. Community Dimensions of Practice Skills (eg., community-based participatory research, facilitation, collaboration, negotiation, community input)	optional for employees interested in tracking training [beyond the Competencies and overarching topics]
	6. Public Health Sciences Skills (eg., public health, epidemiology, biostatistics, social and behavioral health science, research, laws and regulations)	they have already received.
	7. <b>Financial Planning and Management Skills</b> (eg., budget development, fiscal restrictions, organizational theory, supervision, management, contracting, program performance)	
	8. Leadership and Systems Thinking Skills (eg., ethics, key values, organizational learning, continuous QI and organizational performance)	
	Public Health Preparedness and Response Core Competencies:  • Model Leadership  • Communicate and Model Information  • Plan for and Improve Practice  • Protect Worker Health and Safety  arding training, once in place, will include modules on Public Health	

NOTE: This On-boarding training, once in place, will include modules on Public Health topics, including Accreditation, Quality Improvement 101, and the Incident Command System (ICS) training. The ultimate goal is to fold these topics and listings into one on-boarding comprehensive package.

Table 4: Training competencies and tracking in TRAIN

#### Managing TRAIN

Currently, HEALTH has leveraged limited resources to administer, maintain, and manage TRAIN. HEALTH recognizes that improving workforce development efforts in the agency is key to have an efficient and effective workforce. HEALTH also acknowledges that TRAIN is a useful system that offers a wide array of features to register for training, create individual and group training plans, create learners' transcripts, verify training attendance and certification, evaluation, and more.

The staffing structure to manage TRAIN is shown below.

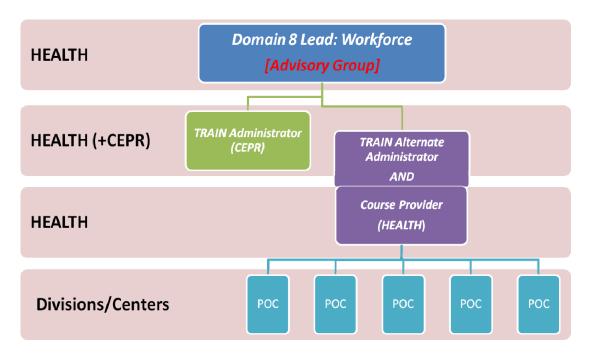


Figure 2: Interim Workforce Development Chart to maintain TRAIN

In the next paragraphs there is a description of how the current training efforts are organized.

#### TRAIN Administrator:

The TRAIN Administrator has all levels of permissions in TRAIN and is the individual ultimately responsible to manage TRAIN for the Department. The TRAIN Administrator is expected to:

- Provide expertise and guidance in the use of TRAIN for the creation of training plans, courses, reports, monitoring, etc.
- Work with the Public Health Foundation, TRAIN's sponsor, for any technical assistance, support and/or feedback efforts.

- Participate in the TRAIN events and network with TRAIN administrators in other states
- Offer staff training in the use of TRAIN as needed, but at least twice a year
- Manage accounts creation and account status, approve courses, verify transcripts, monitor usage and issues, troubleshoot, report system problems, etc.
- Work with the Points of Contact and Course Providers across Divisions/Centers to provide training and technical assistance as needed.
- Generate a variety of reports for users, units, and HEALTH staff for management purposes on an ongoing basis.

#### TRAIN Course Provider:

Course Providers are responsible for managing individual courses in TRAIN and have access to post or edit course information in TRAIN. The Course Providers:

- Create new training courses in TRAIN and manage the online registration, pre and post tests, student rosters, reminders, evaluation, and certification for these courses.
- Send reminders to promote courses, complete evaluations, and complete certifications
- Track users' progress in completing courses and evaluations for which they registered, verify attendance for learner transcripts, access participation rosters.

#### **Points of Contact (POCs):**

Points of Contact (POCs) are individuals from each Division/Center who serve as a liaison between the TRAIN Administrator and HEALTH staff. Their main role is to provide assistance with TRAIN to employees in their respective areas. POCs:

- Ensure **ALL** employees in their assigned area have active, up-to-date TRAIN accounts
- Ensure new employees create their TRAIN accounts
- Ensure employees leaving HEALTH or transferring to another Division/Center update their TRAIN account accordingly
- Provide basic user support for course registration, etc.
- Notify the TRAIN Administrator when a new training is being planned by their Division/Center, for proper follow-up
- Refer problems beyond their expertise to a TRAIN Administrator

#### Tracking Training in TRAIN

The process for creating, tracking and monitoring training in TRAIN is depicted in the flowchart below.

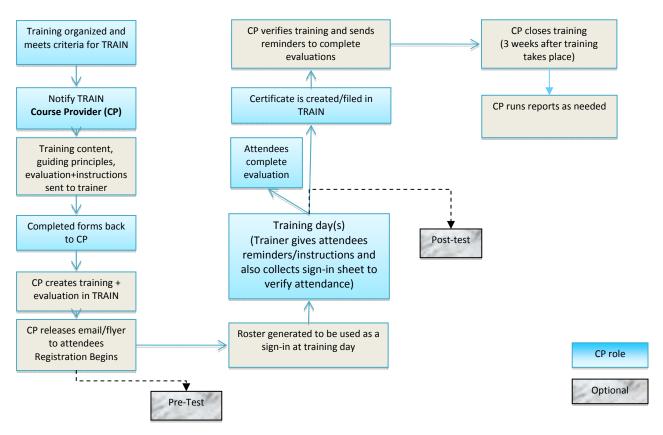


Figure 3: The workflow to track training in HEALTH's learning management system. Note that "CP" stands for "Course Provider".

### Supporting HEALTH's Training Plan

Please note that the current training plan is predicated on the basis of the current structure. The structure is based on the following premises:

- 1. There will be one part-time TRAIN administrator, who will also function as a "TRAIN Course Provider" for HEALTH,
- 2. The Center for Emergency Preparedness and Response (CEPR) needs for TRAIN will continue to be self-supported,
- 3. Only trainings that are part of HEALTH's Workforce Development Plan will be tracked in TRAIN,
- 4. A "Workforce Advisory Group" has been formed, and,
- 5. The Points of Contact (POCs) that have been identified in each Division/Center will continue to work as originally planned.

### V. HEALTH's Training Needs

### Workforce Training Needs Assessment

The most recent effort conducted by the Rhode Island Department of Health to assess its staff training needs took place in October 24, 2011. Through a partnership with the Rhode Island Public Health Institute (RIPHI), the tool used for this assessment was a slightly revised version of the North Carolina Institute for Public Health survey. This tool uses the public health core competencies adopted by the Council on Linkages between Academia and Public Health Practice.

In addition to the Public Health Core Competencies, the tool included a section for employees to self-assess the status of their competencies related to public health emergency preparedness as outlined in the Association of Schools of Public Health's *Public Health Preparedness and Response (PHPR) Core Competency Model Version 1.0*, a proposed national standard of behaviorally-based, observable skills for the workforce to prepare for and respond to all-hazards scenarios.

Results of the assessment were analyzed and a report was completed by the Connecticut-Rhode Island Public Health Training Center (CT-RI PHTC) in August 2012. See the Executive Summary and the respondents' demographics in Appendix 5.

Worth mentioning are limitations in the data gathered through this need assessment, as explained briefly in Appendix 6. First, the survey was self-reported and anonymous. Due to these characteristics, neither the skill level nor the numbers reported as tiers 1, 2, and 3 can be validated in any way. At the same time, because of the answer choices results need to be cautiously interpreted, as some of the core competencies showed significant numbers with very high or very low skills level. This limitation was of particular concern to the agency, which hinders its ability to design targeted training to the right groups. To begin addressing this weakness, this training plan includes section 6 of the plan, to "Respond to agency-specific workforce/training needs". In this particular objective, the goal is to identify the training needs of staff in a more specific way, based on the job assignments and more directly to the job categories. This section also proposes to identify an improved tool to identify the training needs of the staff in relation to the core competencies.

With regards to the Emergency Preparedness module, employees in all tiers self-assessed at moderately high levels their comfort with the integral tenets ICS, such as reporting information through the chain of command, but did not express the same level of confidence in ensuring that they had adequate family/personal preparedness plans, which is fundamental to the success of a response. These are two areas of focus for the present year's workforce development plan, as they indicate that HEALTH employees should continue to be trained in ICS, especially given staff turnover rates, and to ensure that more HEALTH employees are prepared at home.

While it is important to further assess the training needs of the many levels of staff and management, HEALTH's leadership decided to address some immediate training needs

during the current year. The training areas selected for immediate action are described in the next section.

### HEALTH-specific training needs

HEALTH is responsible for protecting the health of the people in the State and is therefore responsible for training its workforce to adequately meet the demands of its mission. In addition to the training offered by the State of Rhode Island to all state employees, HEALTH has agency-specific needs in terms of training and development, as described in the next paragraphs.

- **Public health training.** As the agency responsible for public health in the state, HEALTH is invested in providing public health training to all of its employees. Whereas in the past public health training was mostly offered periodically and to small groups of interested employees, the agency is now promoting a proactive, ongoing approach to train staff in public health, as evidenced in this training plan.
- **Public health preparedness.** Similarly, because of its role in public health, HEALTH is required to train its staff in the principles of the Incident Command System (ICS). There are different levels of training that are dependent on the role that staff will play during emergencies. Training is offered online and advanced courses are provided by the Rhode Island Emergency Management Agency (RIEMA) throughout the year.
- **General orientation.** To ensure that new employees are fully aware of the agency's practices, policies, and resources available, HEALTH is also promoting an orientation session to all new employees. The ultimate goal, however, is to go beyond the orientation and to eventually put in place an "onboarding" system<sup>vi</sup>, to fully and successfully engage the employee in the agency and maximize the retention of employees, as shown below.

TYPICAL ORIENTATION	ONBOARDING
Transactional focus and goals	Strategic focus and goals
Less than one week	Includes first year
Owned and executed by human resources office	Integrates multiple offices, functions and individuals
Addresses some new employee needs	Addresses all new employee needs — from information, equipment and accounts to training and networking
Employee attends	Employee is active participant with vested interest in success
Yields new hires with completed paperwork and some general information	Yields successful first year for new employees — maximizes employee engagement and retention

**Table 5:** Orientation vs. Onboarding approaches

• **New and emerging needs.** Public health is a dynamic discipline, continuously evolving and using innovation in public health practice and research, which results in new training needs that will be incorporated into the Department's training plans. A solid example of these new and emerging needs has come from publications like the

Institute of Medicine (IOM) that recommended an accreditation program for health departments. Hence, public health is expanding its training efforts to acquire and/or enhance the staff's understanding of public health, the essential services, accreditation, QI, performance management, performance measures, return on investment (ROI), cost benefits, and more.

### **Overall Goals and Responsibilities**

The Rhode Island Department of Health aims to achieve the following training goals in the 2013-2014 period:

- 1. Provide refresher trainings in a wide variety of topics to enhance cross-cutting skills such as software use, confidentiality, ethics, etc.
- 2. Promote a culture of Quality Improvement [see also the agency's 2013 QI Plan in Appendix 7].
- 3. Foster development and enhancement of management and leadership skills
- 4. Better prepare HEALTH personnel to respond to emergency events
- 5. Address the most significant training needs identified through the Workforce Needs Assessment survey conducted in 2012
- 6. Respond to agency-specific workforce/training needs that are congruent with the Public Health Core Competencies

This Training Plan is constructed with support from the Department's leadership, and requires everyone's participation, from the Director to all staff, to be successful. The roles and responsibilities of each of the groups within the Department, as it applies to this Training Plan, are outlined below.

# Director of Health:

Responsible to support an agency-wide workforce strategy, priority setting, establishment of goals and objectives, and establishing an environment that is conducive and supportive of learning.

# Division Directors & Center Leads:

Responsible to the Director of Health for all employees within their divisions/centers. Supports, coaches, and mentors supervisors and/or employees to assure that appropriate training resources and support structures are available within the division/center. Identifies high potential employees as part of agency succession plan.

#### Supervisors:

Responsible to their Director/Leads and employees to ensure that individual and agency-based training initiatives are implemented. Works with employees to develop an individualized learning plan and supports the implementation of the plan (e.g., time away from work, coaching, opportunities for application, tuition reimbursement). Identifies high potential employees as part of agency succession plan.

#### All Employees:

Ultimately responsible for their own learning and development. Work with supervisor to identify and engage in training and development opportunities that meet their individual as well as agency-based needs. Identify opportunities to apply new learning on the job.

### VI. HEALTH's Training Evaluation and Monitoring

#### **Evaluation Goals and Tools**

To assess the successes and gains from the training offered to staff, HEALTH aims to evaluate all training sponsored by the Department. This plan proposes to conduct evaluation of each class offered through TRAIN, using TRAIN as much as current resources allow (for training conducted that is not tracked through TRAIN, instructors will be asked to collect hard copies of the evaluation at the end of the class and turn those in to the Training contact). Specifically, training offered to employees will be tracked and monitored in TRAIN to:

- a) Quantify the volume and frequency of training,
- b) Assess the use of adult learning principles in the teaching, and,
- c) Assess if learning has been put into practice.

Evaluation of training is formulated using two strategies. The first one is the use of a pre and post test to assess the change in knowledge as a result of the training, and will be done in most courses, depending on the instructor and the training topic. Every effort will be made to encourage outside trainers to develop these tests and include them in TRAIN for evaluation purposes. The second one is a standard evaluation to assess the use of adult learning principles and learners' satisfaction with the training. This standard evaluation has been developed over the course of months, and is the result of implementing the adult learning principles and the Kirkpatrick's training evaluation model. The evaluation was also piloted and adjusted prior to implementation in the month of August 2013. The evaluation is likely to be revised over time, but is initially proposed as included in Appendix 8. HEALTH encourages employees to attend online training events as part of a regular staff development method. A separate webinar evaluation has also been designed, with a slightly different variation for live and recorded webinars, as shown in Appendix 9.

### Reporting

At the end of each calendar year, or more often if needed, TRAIN reports will be run to assess the quantity and results of the training provided. These reports would include number of trainings conducted, number of staff taking trainings, results of the standard evaluations, and other reports, as needed. That information will be used to prepare an annual management report and will be shared with the Executive Committee for review and to strategically discuss quality improvement for future training plans and strategies.

### Plan Update and Review

The workforce development plan will be updated annually, and the process will be initiated by the individual primarily responsible for Workforce Development. The process to review and update the plan will include review of the data collected during

the year, report on the goals and objectives of the Plan, and summary of findings from the evaluations collected. All data will be reviewed and discussed with the Workforce Development Advisory Group, and upon completion of the review, it will be submitted to the Director and Executive Committee for approval, prior to distribution to all staff via the Intranet.

# **VII. Training Plan**

Training Goals, Objectives and Content for 2013 - 2014

1. Provide refresher trainings in a wide variety of topics to enhance skills on cross cutting skills such as software use,
confidentiality, ethics, etc.

Training Topic	Content	Objective	Duration and Frequency	Competency	Audience	Trainer	Responsible Party
1a. HIPAA Confidentiality	Process and rules about HIPAA and to protect patient confidentiality	15% of staff will take the class each year	1 hour, offered at least twice a year	1	Tier 1, 2, 3	- Internal Resource	- Workforce Development Lead
1b. Protecting Health care data and records	Policies related to clinical protocols, staff access to records, electronic transfer of data, access and release of public records	15% of staff will take the class each year	1 hour, offered at least twice a year	1	Tier 1, 2, 3	- Internal Resource	- Workforce Development Lead
1c. CLAS (Culturally and Linguistically Appropriate Services) Standards	Content and application of CLAS standards	15% of staff will take the class each year	2 hours, repeated at least twice a year	4	Tier 1, 2, 3	- Internal Resource	- Workforce Development Lead
1d. Microsoft Office Products: Word, Excel, Powerpoint, Publisher, Access	Basic, Intermediate and Advance Levels – description available at OTD website	5% of the staff from each unit will attend one of these courses	15 hour course for each class, offered during Spring and Fall	1, 7	Tier 1, 2, 3	Office of Training and Developmt.	- Employee and Supervisor
1e. Basic Groupwise (email software)	Use of email, appointments, booking resources,	100% of new hires will attend this training	1 hour, offered 3 times each year	3	Tier 1, 2, 3	- Internal Resource	- Workforce Development Lead
1f. Adobe Converter and Adobe Professional	Understand the basic skills and features of the Adobe software for practical daily use	40% of staff with access to the software will receive the training	1 hour, repeated as needed	2, 3, 5	Tier 1, 2, 3	- Staff	- Workforce Development Lead

2. Promote a culture of quality improvement

Training Topic	Content	Objective	Duration and	Competency	Audience	Trainer	Responsible
			Frequency				Party
2a. Quality Improvement method PDSA for Public Health	Theory and practice of the QI principles and the PDSA method and how to apply it in public health	By the end of 2013 at least 20 new staff will be trained in the QI tools of PDSA	- 8 hours, divided into 2 days, at least two weeks apart	Public Health Core Competency 8A7, 8B7, 8C7	Staff within each of the Divisions	- Staff and PHF's train- the-trainers materials	QI Team/Faculty
2b. QI 101	Basic knowledge of the quality improvement concept and overall goal	By June 2014, design and/or make available a basic QI training to all staff	- 1 hour, webinar or in person workshop	Same as above	All staff	QI Team/Facul ty	QI Team/Faculty

Training Topic	Content	Objective	Duration and Frequency	Competency	Audience	Trainer	Responsible Party
3a. Supervisory and Management	Challenges of supervisory and management role, responses to performance and behavioral difficulties, discuss characteristics and styles of successful supervisors	15% of staff will take the class each year	15 hours, for incentive credit	2, 7, 8	Manageme nt	Office of Training and Develop ment	Employee and Supervisor
3b. Performance Management	Models and fundamentals of performance management systems, the connection between QI and performance management, performance measures, standards and reporting Tools to conduct performance measurement development, monitoring and analysis	50% of staff responsible for developing and maintaining performance measurement will attend this class	3 hours, repeated at least twice a year	2, 6, 7, 8	Manageme nt and Staff	OHHS, TBD	Employee and Supervisor
3c. Leadership Institute for current, new and emerging leaders	Enhancing the leadership capabilities, strategic visioning and direction-setting skills through critical thinking+analysis, political effectiveness, organizational and team development, enhance the performance outcomes of the essential services, using the core functions of public health	100% of HEALTH's leadership that has not yet taken a leadership institute will attend this class	TBD (At least 15 hours, over the course of a 6 month period)	2, 6, 7, 8	Manageme nt and Leadership	RI College, TBD	Employee and Supervisor

Training Topic	Content	Objective	Duration and Frequency	Competency	Audience	Trainer	Responsible Party
4a. ICS 100 ICS 200 ICS 546 ICS 700 ICS 800	Incident Command System (ICS) application, methods, forms, and other relevant systems to conduct ICS in a public health agency.	By June 2014, at least 100 HEALTH personnel will have completed the Core training	Each course takes about one hour to complete; total about 5 hours to complete the 5 courses	Public Health Preparedness Response Core Competencies 1.1, 2.1, 2.3, 3.2	Tier 1, 2, 3	All online courses	Employee and Supervisor
4b. Personal Preparedness	Introduction to personal preparedness planning, including how to assemble an emergency kit and develop a Family Communication Plan	By June 2014, at least 100 HEALTH staff members will have completed personal preparedness training.	1.5 hours	Public Health Preparedness Response competencies 4.1, 4.2	Tier 1, 2, 3	Online	CEPR staff Employee and supervisor

# 5. Address the most significant training needs identified through the Workforce Needs Assessment survey conducted in 2012

Training Topic	Content	Objective	Duration and Frequency	Competency	Audience	Trainer	Responsible Party
5a. Fundamentals and Practice of Public Health	Understanding of the foundation, history, and fundamentals of public health, learning about the essential services and the challenges of public health practice	30% of staff will attend 2 Public Health 101 sessions offered through the summer	PH 101 is offered in one hour sessions, 2 or 3 times a week throughout the summer	6	Tier 1, 2, 3	Several internal staff	PH 101 Coordinator for the Summer Sessions
5b. Cultural Competence, Cultural Humility	Examine biases and prejudices, populations' values, norms and traditions, and develop crosscultural skills	By June 2014 at least 1/3 of the staff will attend training	- 2 hours, repeated as needed	4	Tier 1, 2, 3	TBD	- Workforce Development Lead - Minority Health (CFHE)

#### 6. Respond to agency-specific workforce/training needs **Objective Responsible Party** Goal **Activities** - Research other tools available and By the end of 2013 the agency Workforce will have identified a tool and **Development Group** consult with other states and 6a. Identify a tool named for this purpose workforce agencies mechanism to assess the and/or process that - Examine findings of other training needs of staff and HEALTH can use to assessments completed management identify the topic-- Identify competencies for which specific training further assessment of the topics is needs of the staff in needed management. - Identify a tool and pilot it in a small administrative and group of employees leadership roles - Report results of the pilot and recommend next steps - Research content of other - Onboarding will be developed Workforce and launched for early 2014 **Development Group** onboarding models 6b. Better prepare - Onboarding will include basic - Inventory the current models set up for this purpose new employees to do - Propose a curriculum requirements for all their jobs with a employees, such as ICS, QI, - Propose timeframes, trainers and comprehensive Public Health, Confidentiality, mentors review of the - Include adult learning principles Ethics, etc. agency's mission. - Pilot and evaluate the onboarding See Appendix 10 for Draft of goals, policies and the "New employee checklist" strategy resource - Summarize findings and adjust the to pilot the Onboarding methodology as needed program in 2014. - Launch onboarding

# 2014 - Training Schedule

ТОРІС		Y TO REPEAT COURSE	TRAINING AVAILABILITY					
	New employee	Current employee	Method	Available	Duration			
FUNDAMENTALS AND PRACTICE OF PUBLIC HEALTH (12)								
Ethics in Healthcare	6 months from hire	Every 3 years	In-person	Twice a year	1.5 hours			
Introduction to the Foundations, History and Philosophy of Public Health					2 hours			
Social Determinants of Health and Health Equity	6 months from hire	View two webinars per year	Online	Anytime	1h 45 min.			
Systematic Oppression "the Isms"					1h 20 min.			
Core Competencies in Assessment and Measurement					2h 15 min.			
Using the Strategic Prevention Framework to Promote Leadership and Systems Thinking					1h 45 min.			
Activating Citizens and Building Community Capacity					2h 30 min.			
Cultural Competence and Cultural Humility					1h 45 min.			
Framing Health Equity: A Communications Strategy					2 hours			
Public Health Grand Rounds	At least 2 sessions per year, as sessions are offered		In-person	At least 5 times per year	1 hour			
Quality Improvement in Public Health		If selected for QI Team	In-person	Yearly	8 hours			
Quality Improvement (QI) 101	4 months from hire	Every 3 years	Online	Anytime	1 hour			
MANAGEMENT AND SUPERVI	MANAGEMENT AND SUPERVISORY STAFF (5)							
Privacy rule under new HIPAA amendments	3 months from hire	Every 3 years	Online	Anytime	1.5 hours			
Turning Managerial Challenges Into Positive Results	3 months from hire	Every 3 years	In-person	Every other month	7 hours			
Critical Supervisory, Management and Leadership Skills	6 months from hire	Every 3 years	In-person (through OTD)	2 or 3 times a year	15 hours			
Developing Performance Measures	3 months from hire	Every 3 years	Online	Anytime	1 hour			

торіс		Y TO REPEAT COURSE	TRAINING AVAILABILITY					
	New employee	Current employee	Method	Available	Duration			
Transition from Management to Leadership	Within 1 year	Every 5 years	Online	Anytime	7 weeks to complete			
INCIDENT COMMAND SYSTEM (ICS) (8)								
IS 100b: Introduction to Incident Command System	3 months from hire	Every 3 years						
IS 200b: ICS for Single Resources and Initial Action Incidents		Once in career		Anytime	1 hour			
IS 546: Continuity of Operations Awareness Course	3 months from		Online					
IS 700a: National Incident Management System (NIMS): An Introduction	hire							
IS 800b: National Response Framework, An Introduction								
ICS Refresher	Optional after completion of ICS courses above							
ICS at HEALTH	3 months from hire	Every 3 years	Online	Anytime	1 hour			
Personal Preparedness	6 months from hire							
GENERAL TOPICS (9)								
On-boarding: New hires orientation	Once		Combined: Online and in-person	Pilot in 2014	Throughout the first year of employment			
HIPAA Confidentiality	6 months from hire	Every 2 years	In-person	Twice a year	2 hours			
Protecting Health care data and records	6 months from hire	Every 2 years	In-person	Twice a year	2 hours			
CLAS (Culturally and Linguistically Appropriate Services) Standards	6 months from hire	Every 3 years	In-person	Twice a year	2 hours			
Equal Opportunity/Harassment/Sexual Harassment and Diversity	3 months from hire		In-person	Quarterly	3 hours			
Cultural Competency and Diversity (refresher class from above)		Every 2 years	In-person	Quarterly	2 hours			
Code of Ethics	1 year from hire	Every 3 years	In-person	Twice a year	1.5 hours			

TOPIC		Y TO REPEAT COURSE	TRAINING AVAILABILITY				
	New employee	Current employee	Method	Available	Duration		
Health and Safety Training	1 year from hire	Every 3 years	In-person	Twice a year	1.5 hours		
Public Health Accreditation	6 months from hire	Every 3 years	Online	Anytime	1 hour		
SOFTWARE (3)							
Microsoft Office Products: Word, Excel, Powerpoint, Publisher, Access	As needed	As needed	In-person (through OTD)	Spring and Summer	Usually 15 hrs		
Groupwise (email software)		As needed	In-person (through OTD)	Spring	2 hours		
Adobe Converter and Adobe Professional	If applicable, within 3 months from hire	As needed, approved by supervisor	In-person (through OTD)	Twice a year	2 hours		

#### **IMPORTANT NOTES:**

- This schedule is for calendar year 2014.
- Job/position-specific required trainings are not listed here.
- Some positions may require training in certain topics more often than what is listed in this schedule. In those cases, staff will need to take the trainings as required by their position.
- All courses listed in this plan require access to TRAIN. Training schedules, location, frequency, etc., might change due to funding or resources. Visit the Intranet or contact your TRAIN Point of Contact for current updates.

# 2014 - Online Classes Only

Please note that all courses require access to TRAIN. Log in TRAIN, visit the Intranet or contact your

TRAIN Point of Contact for current updates.

TODIC	TRAIN	DUDATION	INCTRICTOR	FREQUENCY TO REPEAT THE COURSE		
ТОРІС	COURSE ID	DURATION	INSTRUCTOR	New employee	Current employee	
Introduction to the Foundations, History and Philosophy of Public Health	1046392	2 hours	M. Clark			
Social Determinants of Health and Health Equity	1046415	1h 45 min.	N. Baril E. Achile	6 months from	View two webinars per year	
Systematic Oppression "the Isms"	1046417	1h 20 min.	C. Parker J. Ojeda			
Core Competencies in Assessment and Measurement	1046439	2h 15 min.	M. Thompson D. Robinson			
Using the Strategic Prevention Framework to Promote Leadership and Systems Thinking	1046440	1h 45 min.	L. Bavarie	hire		
Activating Citizens and Building Community Capacity	1046442	2h 30 min.	L. Fresina			
Cultural Competence and Cultural Humility	1046606	1h 45 min.	T. Ayers N.Shewmangal			
Framing Health Equity: A Communications Strategy	1046624	2 hours	T. Manuet			
Quality Improvement (QI) 101	TBD	1 hour	TBD	4 months from hire	Every 3 years	
Privacy rule under new HIPAA amendments	TBD	1.5 hours	J. Sternick	3 months from hire (if it applies)	Every 3 years	
Developing Performance Measures	TBD	1 hour	T. Chapel	3 months from hire (if it applies)	Every 3 years	
Transition from Management to Leadership	TBD	7 weeks to complete	Tulane University	If applicable, within 1 year	Every 5 years	
IS 100b: Introduction to Incident Command System	1024627	•	·	3 months of hire	Every 3 years	
IS 200b: ICS for Single Resources and Initial Action Incidents	1024638		Federal Emergency Management			
IS 546: Continuity of Operations Awareness Course	1030692	Varies	Agency (FEMA)/Emer	3 months from hire	Once in career	
IS 700a: Introduction to the National Incident Management System (NIMS)	1016070		gency Management			
IS 800b: National Response Framework, An Introduction	1011882		Institute (EMI)			
ICS Refresher	TBD			Optional after completion of ICS courses above	Every 3 years	
ICS at HEALTH	TBD	TBD	Varies	3 months from hire		
Personal Preparedness	TBD			6 months from hire		
Public Health Accreditation	TBD	1 hour	Varies	6 months from hire	Every 3 years	
On-boarding: New hires orientation (online and in person)	orientation  TBD  On-boarding will be Piloted in 2014 and goes through the 1st year of employment for new hires				v hires	

## 2014 – In-Person Classes Only

Please note that all courses require access to TRAIN. Training schedules, location, frequency, etc., might change. Visit the Intranet or contact your TRAIN Point of Contact for current updates.

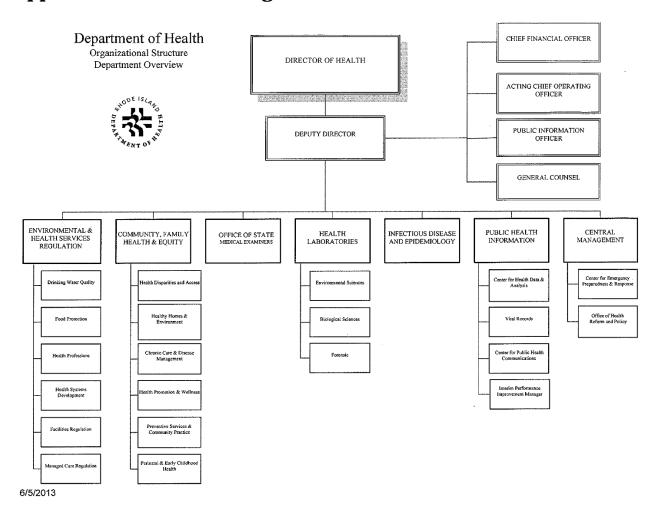
TRAINING TOPIC	Available	Duration	Instructor	FREQUENCY THE CO	
				New employee	Current employee
Ethics in Healthcare (TRAIN ID 1048381)	Twice a year	1.5 hours	L. Green	6 months from hire	Every 3 years
Public Health Grand Rounds (also available online)	At least 5 times per year	1 hour	Varies	At least 2 sessions per year, a sessions are offered	
Quality Improvement in Public Health	Yearly	8 hours	QI Team	If selected for G	
Turning Managerial Challenges into Positive Results	Every other month	7 hours	D. George	3 months from hire	Every 3 years
Critical Supervisory, Management and Leadership Skills	2-3 times a year	15 hours	TBD	6 months from hire (if it applies)	Every 3 years
HIPAA Confidentiality	Twice a year	2 hours	TBD	6 months from hire	Every 2 years
Protecting Health care data and records	Twice a year	2 hours	TBD	6 months from hire	Every 2 years
CLAS (Culturally and Linguistically Appropriate Services) Standards	Twice a year	2 hours	J. D'Errico	6 months from hire	Every 3 years
Equal Opportunity/Harassment/Sexual Harassment and Diversity	Quarterly for new hires	At hiring: 3 hours	C. Burrell	3 months from hire	
Cultural Competency and Diversity (refresher class from above)	Quarterly	2 hours	C. Burrell		Every 2 years
Code of Ethics	Twice a year	1.5 hours	J. Gramitt	1 year from hire	Every 3 years
Health and Safety Training	Twice a year	1.5 hours	TBD	1 year from hire	Every 3 years
On-boarding: New hires orientation <i>(online and in-person)</i>			in 2014 and goes ment for new hir		
Microsoft Office Products: Word, Excel, Powerpoint, Publisher, Access	Spring and Summer	Usually 15 hrs	Varies	As needed	As needed
Groupwise (email software)	Spring	2 hours	TBD		As needed
Adobe Converter and Adobe Professional	Twice a year	2 hours	TBD	If applicable, within 6 months from hire	As needed, approved by supervisor

### VIII. Glossary of Terms

- **Adult Learning Principles:** Five principles that were originally proposed by researcher Knowles in 1978, as key components of successful learning when teaching adults.
- **CDC:** The Centers for Disease Control and Prevention, the federal agency that manages and monitors the National Public Health Improvement Initiative (NPHII), through grants to state public health agencies.
- **Core Competencies:** The Core Competencies<sup>vii</sup> are areas of expertise identified by national public health experts that should serve as a starting point for academic and practice organizations to understand, assess, and meet training and workforce needs. They are being used by hundreds of health departments and public health professionals across the country and abroad.
- **HEALTH employee:** Any individual hired by the State of Rhode Island and performing a job at HEALTH, AND, individuals performing work for HEALTH via a sub-contract, or other long-term, ongoing assignment such as internship.
- **NPHII:** The National Public Health Improvement Initiative it hat support health departments or their bona fide agents for a) Accelerating public health accreditation readiness activities, b) Implementing performance and improvement management practices and systems, d) Implementing and sharing practice-based evidence.
- **On-boarding**<sup>ix</sup>: The process of integrating and acculturating new employees into the organization and providing them with the tools, resources and knowledge to become successful and productive.
- **OSTLTS:** The Office for State, Tribal, Local and Territorial Support<sup>x</sup>, created in CDC to support and improve public health at all levels. The mission of OSTLTS is to advance US public health agency and system performance, capacity, agility, and resilience.
- **OTD:** The Office of Training and Development of the RI Department of Administration, responsible for arranging basic training for all state agencies.
- **PHAB:** The Public Health Accreditation Board (PHAB), the non-profit agency responsible for implementing the public health accreditation program nationally.
- **Points of Contact:** Also known as "POCs", are individuals from each Division or Center within HEALTH, trained and voluntarily serving in the role of contact for training and troubleshooting for staff in their Division or Center.
- **Public Health Accreditation:** The new, voluntary program launched in September 2011 now available to all public health agencies to gain accreditation.
- **TRAIN:** TrainingFinder Real-Time Affiliate Integrated Network, a system supported by the Public Health Foundation and in use at HEALTH as its learning management system for public health training, monitoring, tracking and evaluation.
- Workforce Development Plan: The comprehensive document that HEALTH will
  issue on an annual basis describing the current efforts related to training and staff
  development, the training schedule for the upcoming year, and the evaluation of the
  past year.

## IX. Appendices

## **Appendix 1: HEALTH's Organizational Chart**



## Appendix 2: Workforce and Professional Development Logic Model, presented in two versions



## Professional and Workforce Development Logic Model

	INPUTS	ACTIVITIES	OUTPUTS	SHORT TERM OUTCOMES	INTERMEDIATE OUTCOMES	LONG TERM OUTCOMES
Workforce Development (Organizational)	RI academic institutions training public health professionals HEALTH's expertise and academic interests RI-CT PHTC, RI PHI TRAIN Courses OHHS HR, OTD, PHAB PH Core competencies State + federal training resources	Assess workforce development needs Define and formalize partnerships with local academic institutions Formalize partnerships with the RI-CT PHTC Foster a staff development structure supported by HR	An approved workforce development logic model  Each HEALTH staff has an updated account in TRAIN  An initial, one-year workforce development plan and progress report	•All training offered to HEALTH staff is evaluated and reported using TRAIN •A robust, validated workforce assessment tool for ongoing use is identified and in use •All new hires receive a new employee orientation •All staff is trained in QI tools	•A 3-year workforce development plan that addresses the gaps identified through the assessment is implemented and revised annually •Each HEALTH employee is adequately trained in the 8 public health core competencies •50% of staff uses QI tools in their work	HEALTH's     workforce is trained     to meet the needs of     the Department
	INPUTS	ACTIVITIES	оитритѕ	SHORT TERM OUTCOMES	INTERMEDIATE OUTCOMES	LONG TERM OUTCOMES
Professional Development (Individual)	•RI academic institutions training public health professionals •HEALTH's expertise and academic interests •RI-CT PHTC, RI PHI •TRAIN Courses •OHHS HR, OTD, PHAB •PH Core competencies •State + federal training resources	Assess professional development needs Formalize partnerships with the RI-CT PHTC Assess professional development needs Foster a professional development structure supported by HR Assess professional development	An approved professional development logic model     Professional development needs are identified and prioritized	•All training offered to HEALTH staff is evaluated and reported using TRAIN •Job specifications are mapped against the public health core competencies and a study group is identified	Each HEALTH employee develops and follows an annual training plan to maintain certification and follow a career path (if desired)     Each HEALTH employee is able to achieve her professional aspirational goal	HEALTH maintains     a system to promote     staff retention,     career and     professional     development
F.		<ul> <li>Align job specifications with core competencies</li> </ul>				2/2013



### Professional and Workforce Development Logic Model (combined)

### Inputs + Resources

- Local academic institutions that offer degrees on public health and related professions
- •HEALTH's expertise and academic interests
- •RI-CT PHTC
- •RI Public Health
- •Courses available in TRAIN
- •OHHS HR
- CFHE Staff Dev.
  Committee
- CDC NPHII grant
- PHAB standards
- Public Health Core Competencies from the Council on Linkages
- •DOA's Office of Training and Development •State, local and
- Development
  •State, local and federal training resources and opportunities

#### Activities

- Assess workforce development needs
- •Assess professional development needs
- Define and formalize partnerships with local academic institutions
- •Formalize partnerships with the RI-CT PHTC
- •Foster a staff development structure supported by HR
- Align job
   specifications with
   the 8 public health
   core competencies
   from the Council on
   Linkages

#### Outputs

- An approved professional and workforce development logic model
- •Each HEALTH employee has an active account in TRAIN
- An initial, one-year workforce development plan and progress report
- •Workforce development needs are identified and prioritized
- Professional
   development needs
   are identified and
   prioritized

## Short term outcomes

- •All training offered to HEALTH staff is evaluated and reported using TRAIN
- A robust, validated workforce assessment tool for ongoing use is identified and in use
- All HEALTH staff is trained in QI tools
- All new hires receive a new employee orientation
- Job specifications are mapped against the public health core competencies and a study group is identified

## Intermediate outcomes

- •A 3-year workforce development plan that addresses the gaps identified through the assessment is implemented and revised annually
- Each HEALTH
   employee is
   adequately trained in
   the eight public
   health core
   competencies
- •50% of the staff is using QI tools in their work
- \*Each HEALTH
   employee develops
   and follows an annual
   training plan to
   maintain certification
   and follow a career
   path (if desired)
   \*Each employee is able

to achieve her

aspirational goal

professional

#### Long term outcomes

- HEALTH's workforce is trained to meet the needs of the Department
- HEALTH maintains a system to promote staff retention, and career and professional development

2/2013

## **Appendix 3: Public Health Preparedness & Response Core Competency Model**

FINAL Model Version 1.0 (December 17, 2010)



**Performance Goal:** Proficiently perform assigned prevention, preparedness, response, and recovery role(s) in accordance with established national, state, and local health security and public health policies, laws, and systems.

Much of an individual worker's ability to meet this performance goal is grounded in competencies acquired from three sources, as applicable to the worker's level of training and position/role:

- (a) Foundational public health competencies, such as the Council on Linkages Between Academia and Public Health Practice Core Competencies for Public Health Professionals and the ASPH Master's Degree in Public Health Core Competency Model for those in the target group with specific training in public health;
- (b) Generic health security or emergency core competencies, such as those which may stem from <u>NIMS courses</u> o competency sets pending from various national initiatives; and,
- (c) Position-specific or professional competencies, such as those developed for <u>public health nursing</u>, <u>environmental health</u>, <u>public health law</u>, <u>applied epidemiology</u>, <u>administrative support</u>, and <u>informatics</u>.

Beyond these sets, competencies in the following four domains are critical to build and sustain the capacity of public health workers to fulfill their responsibilities:

#### 1. Model Leadership

- 1.1 Solve problems under emergency conditions.
- 1.2 Manage behaviors associated with emotional responses in self and others.
- 1.3 Facilitate collaboration with internal and external emergency response partners.
- 1.4 Maintain situational awareness.
- 1.5 Demonstrate respect for all persons and cultures.
- 1.6 Act within the scope of one's legal authority.

#### 2. Communicate and Manage Information

- 2.1 Manage information related to an emergency.
- 2.2 Use principles of crisis and risk communication.
- 2.3 Report information potentially relevant to the identification and control of an emergency through the chain of command.
- 2.4 Collect data according to protocol.
- 2.5 Manage the recording and/or transcription of data according to protocol.

### 3. Plan for and Improve Practice

- 3.1 Contribute expertise to a community hazard vulnerability analysis (HVA).
- 3.2 Contribute expertise to the development of emergency plans.
- 3.3 Participate in improving the organization's capacities (including, but not limited to programs, plans, policies, laws, and workforce training).
- 3.4 Refer matters outside of one's scope of legal authority through the chain of command.

### 4. Protect Worker Health and Safety

- 4.1 Maintain personal/family emergency preparedness plans.
- 4.2 Employ protective behaviors according to changing conditions, personal limitations, and threats.
- 4.3 Report unresolved threats to physical and mental health through the chain of command.

For more information and to comment on these competencies, see <a href="http://www.asph.org/document.cfm?page=1081">http://www.asph.org/document.cfm?page=1081</a>.

This project is conducted in partnership under a cooperative agreement between CDC and ASPH.

## Public Health Preparedness and Response Competency Map

(Model Version 1.0 – December 17, 2010)

**Performance Goal:** Proficiently perform assigned prevention, preparedness, response, and recovery role(s) in accordance with established national, state, and local health security and public health policies, laws, and systems.

### 1. Model Leadership

- 1.1 Solve problems under emergency conditions.
- 1.2 Manage behaviors associated with emotional responses in self and others.
- 1.3 Facilitate collaboration with internal and external emergency response partners.
- 1.4 Maintain situational awareness.
- 1.5 Demonstrate respect fo all persons and cultures.
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- 3.4 Refer matters outside of one's scope of legal authority through the chain of

## 4. Protect Worker Health and Safety

- 4.1 Maintain personal/family emergency preparedness plans.
- 4.2 Employ protective behaviors according to changing conditions, personal limitations, and threats.
- 4.3 Report unresolved threats to physical and mental health through the chain of command.

Foundational public health competencies

Generic health security or emergency core competencies

Position-specific or professional competencies

This project is conducted in partnership under a cooperative agreement between CDC and ASPH.



### Tenets, Target Audience, and Performance Level for the Public Health Preparedness and Response Core Competency Model Version 1.0 (December 17, 2010)

Supported by the <u>Centers for Disease Control and Prevention (CDC) Office of Public Health Preparedness and Response</u>, the <u>Association of Schools of Public Health (ASPH)</u> has built upon existing work to provide a national framework for competency-based curricula and training and for performance benchmarks to measure public health preparedness and response. The finished model — the Public Health Preparedness and Response Core Competency Model Version 1.0 — fulfills a mandate in the 2006 Pandemic and All-Hazards Preparedness Act to develop "a competency-based training program to train public health practitioners." It represents individual, core competencies that mid-level public health workers, regardless of their employment setting, are expected to demonstrate to assure readiness.

ASPH and the CDC used a transparent, participatory process from April 2009 to December 2010 to develop, vet, and finalize this model. Over 400 individuals from federal, tribal, state, and local public health practice and from academe contributed to the process as volunteers in three rounds of electronic stakeholder input and in expert workgroups. A 16-member Leadership Group worked with ASPH staff, CDC officials, and consultants to guide the project.

#### Tenets

The Leadership Group established the following project tenets, stating that the resulting competency model would:

- · Align with established capabilities
- · Utilize an all-hazards approach, spanning across the prevent, protect, respond, and recover missions
- · Provide a proposed national standard for mid-level public health workers across all sectors and settings
- Be behaviorally-based, focusing on observable actions
- · Reflect and build upon existing competency models
- · Supplement existing core public health competency models
- Inform curricular planning for the workforce
- Be utilized by the CDC Preparedness and Emergency Response Learning Centers (PERLC)\* grantees in 2010.
- . Be available to other public and private entities
- \* PERLC are the new iteration of the Centers for Public Health Preparedness.

#### Target Audience

The model defines a mid-level public health worker as an individual with:

- Five years experience with an MPH equivalent or higher degree in public health, or
- 10 years experience with a high school diploma, bachelors, or non-public health graduate degree.

Aside from years of experience and education, these workers may have responsibilities for: program support, coordination, development, implementation, management and/or evaluation; supervision; establishing and maintaining community relations, presenting arguments and recommendations on policy issues, etc.

To provide a few examples, mid-level public health workers could include:

- · Administrative supervisors, such as payroll supervisors, purchasing managers, and human resources staff;
- · Chief clerks of vital records;
- Public health nurses who run well-child clinics, immunization programs, STD testing, and/or who also may assist with epidemiological tasks; and.
- Public health sanitarians who: undertake routine food, water, pool, and/or restaurant inspections; conduct food worker training; and/or may help with epidemiological tasks

Such mid-level workers may or may not *directly* provide the 10 essential public health services as part of their daily jobs. In the event of an "all hands on deck" emergency, however, organizational leaders may need to use the full range of available human resources to support response and recovery. Each organization will make a decision about which employees to include in the audience for training and exercises applying these core competencies.

### Performance Level

The model targets *proficiency* as the level of competence required to assure readiness. Workers may begin as novices and some may be required by a specific position or activity to achieve expert competence.

### **Appendix 4: Proposed Course Instructor Packet**

Dear Course Instructor/Organizer,

Welcome! The Rhode Island Department of Health is pleased to work with you in the provision of training for our staff and partners. We support workforce and professional development for our employees, and our goals are to train our employees to meet the needs of the Department, and to maintain a system to promote staff retention and career and professional development.

To adequately track training activities, we are now using an agency-wide learning management system, called TRAIN (TrainingFinder Real-Time Affiliated Network). TRAIN can handle registration, pre and post tests, reminders, links, evaluation and certificates of completion. In order to appropriately track training activity, we ask that you kindly send us the following prior to training begins:

- The completed "Course Form" (15 questions on pages 2-7 of this packet). We'll use that information to create and begin managing the course in TRAIN.
- The course evaluation (electronic versions are preferred) if you use one. We'll incorporate your evaluation in TRAIN.
- If relevant, the pre and post test, with the corresponding answers. TRAIN handles the tests, too.
- Any additional information, such as handouts, readings, links or other things that you may want to distribute to the trainees ahead of time.

We also ask that "adult learning principles" be used in any training offered to our staff. We have used those principles to design the evaluation that is administered to all staff after each training, and to design the "Training & Evaluation Principles". We encourage you to use these principles as a checklist to prepare or review materials for the class you will be offering.

Thanks for working with us in this training endeavor. We hope this turns out a mutually positive experience and benefits our staff. Please feel free to contact us with any questions or if we can be of further assistance.

Sincerely,

Workforce Development Team Rhode Island Department of Health

# INFORMATION FOR COURSE INTRUCTORS: TRAINING & EVALUATION PRINCIPLES USED BY THE RHODE ISLAND DEPARTMENT OF HEALTH

Our Goal: to ensure that our workforce is trained to meet the needs of the Department, and to maintain a system that promotes staff retention, career, and professional development.

Our evaluation is designed to measure the extent we applied these principles.

### 1\_\_\_ Course is relevant and aligns with public health core competencies

- a. \_\_Objectives describe what new learning will occur
- b. \_\_Relate each new component to previous material
- c. Provide examples of concepts that are relevant to trainees' work

### 2\_\_ Course highlights personal benefits of training

- a. \_\_Explain how the course relates to learners immediate work and future goals
- b. \_\_Discuss how it will help participants reach professional goals

### 3\_\_ Course accommodates trainees' individual learning needs

- a. \_\_Assess each trainee's capabilities and interests
- b. \_\_Training that is compatible with, and respectful of cultural diversity
- c. \_\_Encourage individual creativity and initiative
- d. Differentiate individual communication
- e. \_\_Provide sufficient time to cover content/answer questions
- f. \_\_Student-centered training

### 4\_\_ Course uses training methods that require active participation

- a. \_\_Use of different methods of communication (Choose two of the following or equivalent): group discussion (small/large), role-play for skill practice, lecture, case study, lab, discussion panel, guest speaker, games, question/answer session, demonstration, technology (media/video, computer, interactive)
- b. \_\_Implement learning tools in the curriculum (Choose one of the following or equivalent): problem-solving exercises, brainstorming, progress, evaluating own work/work of others
- c. \_\_Discussion sections that require trainees to practice skill/material learned
- d. \_\_Limit lecturing to sub-intervals of 20 minutes maximum

### 5\_\_ Course collects feedback

- a. \_\_Appropriate and timely feedback
- b. Provide trainees with opportunity to correct errors & reinforce learning

### References

- Atherton J S (2011) Teaching and Learning; Lectures [On-line: UK] retrieved 28 January 2013 from <a href="http://www.learningandteaching.info/teaching/lecture.htm">http://www.learningandteaching.info/teaching/lecture.htm</a>
- Beamon, C.J., Divisitty, V., Forcina-Hill, J.M., Huang, W., & Shumate, J.A. (2006). A guide to incorporating cultural competency into health professionals' education and training. Prepared for the national Health Law Program. Retrieved from http://njms.umdnj.edu/culweb/medical/documents/CulturalCompetencyGuide.pdf
- Integrating Adult Learning Principles Into Training for Public Health Practice, Rebecca L. Bryan, Matthew W. Kreuter and Ross C. Brownson, Health Promot Pract 2009 10: 557 originally published online 2 April 2008, DOI: 10.1177/1524839907308117
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- Queensland Occupational Therapy Fieldwork Collaborative (QOTFC), 2007, 'Adult learning theory and principles', QOTFC: The clinical educator's resource kit, viewed January 2013, <a href="http://www.qotfc.edu.au/resource/index.html?page=65375">http://www.qotfc.edu.au/resource/index.html?page=65375</a>.
- Wilson, K. & Korn, J.H. (2007). Attention during lectures: Beyond ten minutes. Teaching of Psychology, 34, 85-89.

## TRAIN¹ Course Information, Goals and Competencies

1.	Course title:
2.	Course provider/sponsor: In-house course:  Yes No
3.	Course contact name: Phone: Email:
4.	Course Instructor name:
5.	Instructor's bio:
6.	Course date(s): Location:
7.	Course available to:  All RI learners  RI Dept of Health employees  Nationally
8.	Credits offered: ☐ CEUs ☐ CMEs ☐ Other ☐ None
9.	Course type (check one):  ☐ Online ☐ Live event ☐ Exercise (Table top, drill, full-scale) ☐ Physical Carrier (participant must order materials such as DVD, videotape, CD-ROM)
10.	Course registration (check one):  Registration through TRAIN Attendees need to register at:
11.	Brief course description:
	By the end of the session, attendees will be able to: (include at least two measurable objectives, which begin with action words such as: explain, demonstrate, analyze, formulate discuss, compare, differentiate, describe, name, assess, evaluate, identify, design, define). a. b. c.
12.	Are there any course prerequisites?    No Yes (Please list):
13.	Cost: \$
14.	Course skill level (Check one):  Introductory (topic overview appropriate for general and multiple audiences) Intermediate (Appropriate for specific job duties of the target audience) Advanced (Intensive instructions, reinforcement of skills)

<sup>&</sup>lt;sup>1</sup> TRAIN stands for "TrainingFinder Real-Time Affiliate Integrated Network" and is the RI Department of Health's learning management system.

### 15. Target audience(s) (check up to 6 boxes):

<ul> <li>Allied Health Professional</li> <li>Animal control/Veterinarians</li> <li>Biostatisticians</li> <li>Case Manager</li> <li>Child care providers</li> <li>Communicable disease staff</li> <li>Dental Professionals</li> <li>Dietitians</li> <li>Educators/Trainers</li> <li>Emergency Management</li> <li>Emergency Medical Services</li> <li>Emergency responders/receivers</li> <li>Environmental Health Professionals</li> <li>Epidemiologists/Surveillance staff</li> </ul>	Fire Service General Public Health Staff Hazardous Materials Personnel Health care Home Care Human Services Information Systems Professionals Laboratory Professionals Lactation consultants Law enforcement Mail Handlers Medical Examiner/coroner Mental Health Professional Military personnel	<ul> <li>Nurses</li> <li>Occupational Health and safety</li> <li>Pharmacy professionals</li> <li>Physicals/other clinicians</li> <li>Policy/Planner</li> <li>Public Health</li> <li>Public Information</li> <li>Public safety communications</li> <li>Public Workers</li> <li>Student</li> <li>Substance Abuse</li> <li>Teacher/Faculty</li> <li>Other</li> </ul>
16. Course covers materials on the  Access to Care (includes health insurance) Agriculture Animal Health Assessment/Analysis Chronic Diseases Computer Skills/Training Cultural Competence Disability Education/Training/Exercises/Drills Emergency Management Emergency Medical Services Environmental / Industrial Health Epidemiology / Biostatistics Evaluation Family Planning / Reproductive Health Finance / Grants Fire General Public Health Genetics Hazmat	following subject area(s) (check up	Oral Health Partnerships Performance/Quality Improvement Personal Communications Pest / Vector Control Physical Activity / Obesity Policy / Planning Prevention / Promotion

## 17. Course addresses the following competency domain (check up to 6 boxes from the list below):

The Core Competencies for Public Health Professionals are a consensus set of competencies for the broad practice of public health in any setting and they reflect skills that may be desirable for professionals who deliver the Essential Public Health Services. They are presented in three tiers, which reflect stages of public health career development. The individual competencies within the tiers build upon each other, describing desired skills for professionals at progressive stages of their careers.

- **Tier 1** Entry Level. Includes public health professionals who carry out day-to-day tasks of the public health organization and are not in management positions.
- Tier 2 Program Management/Supervisory Level. Includes professionals with program management
  and/or supervisory responsibilities as well as program development/implementation/evaluation,
  maintaining community relations, managing timelines and work plans, and recommending public health
  policies.
- **Tier 3** Senior Management/Executive Level. Focuses on individuals in senior management or leadership positions. This level includes responsibility for program functions, organizational strategy and vision, and establishing/maintaining the organization's professional culture.

Competency Domain

Analytical Assessment Skills	Tier	Tier 2	Tier 3
Identifies/assesses/reviews the health status of populations and their related determinants of health and			
illness Describes the characteristics of a population-based problem Uses/generates/evaluates variables that measure public health conditions Uses/critiques methods and instruments for collecting valid and reliable quantitative and qualitative data Identifies/references/expands access to public health data and information Recognizes/examines/evaluates the integrity and comparability of data Identifies/rectifies gaps in data sources Adheres/employs/ensures the application of ethical principles in the collection, maintenance, use and			
dissemination of data and information  Describes/interprets/integrates findings from the quantitative and qualitative data into organizational operations			
Collects quantitative and qualitative community data/makes community specific inferences from quantitative and qualitative data/determines community specific trends from quantitative and qualitative data			
Uses information technology to collect, store, and retrieve data  Describes/uses/incorporates data into resolution of scientific, political, ethical and social public health issues Identify resources to meet community health needs			
2. Policy Development/Program Planning Skills Gathers/analyzes/evaluates information relevant to specific public health policy issues Describes how policy options can influence public health programs/analyzes policy options for public health programs/decides policy options for the public health organization Explains/determines/critiques the expected outcomes of policy options	Tier 1	Tier 2 	Tier 3
Gathers information to inform policy decisions/describes implication of policy options/critiques selected policy options using data and information			
Describes public health laws and regulations governing public health programs/manages public health programs consistent with public health laws and regulations/ensures public health programs are consistent with public health laws and regulations			
Participates in program planning process/develops plans to implement policy and programs/implements plans and programs consistent with policies			
Incorporates policies and procedures into program planning and structure/develops policies for organizational plans, structures, and programs/determines policies for the organization with guidance from a governing body			
Identifies, develops and critiques mechanisms to monitor and evaluate programs for their effectiveness and quality			
Demonstrates/incorporates/oversees public health informatics practices and procedures Applies strategies for continuous quality improvement/develops strategies for continuing quality improvement/implements organizational and system-wide strategies for continuous quality			
improvement Uses/critiques decision analysis for policy development and program planning Integrates emerging trends into strategic planning Ensures consistency of policy integration	_ _ _	_ _ _	
3. Communication Skills	Tier 1	Tier 2	Tier 3
Identifies the health literacy of populations served/assesses health literacy of populations served/ensures that health literacy of populations served is considered throughout all communications strategies			
Communicates in writing and orally; in person & through electronic means, with linguistic & cultural proficiency			
Solicits community-based input from individuals and organizations/ensures that public health organizations seek input from other organizations and individuals			
Conveys public health information using a variety of approaches/uses a variety of approaches to disseminate public health information/ensures a variety of approaches are considered and used to disseminate public health information		Ц	
Participates in the development of demographic, statistical, programmatic and scientific presentations/presents demographics, statistical, programming, and scientific presentations for professional and lay audiences/interprets demographics, statistics, and scientific presentations for use by professional and lay audiences			

Applies communication and group dynamic strategies in interactions with individuals and groups Communicates the role of public health within the overall health system			
4. Cultural Competency Skills	Tier 1	Tier 2	Tier 3
Incorporates strategies for interacting with persons from diverse backgrounds/ensures that there are strategies for interacting with persons from diverse backgrounds			
Recognizes/considers the role of cultural, social, and behavioral factors in the accessibility, availability and delivery of public health services/ensures the consideration of the role of cultural, social and behavioral factors in accessibility, availability, acceptability and delivery of public health services			
Responds to diverse needs that are the result of cultural differences  Describes/explains/assesses the dynamic forces that contribute to cultural diversity  Describes/assesses the need for a diverse public health workforce  Participates in the assessment of the cultural competence of the public health organization/assesses public health programs for their cultural competency/assesses the public health organization for its cultural			
competency Ensures the public health organization's cultural competency	_		
5. Community Dimensions of Practice Skills			
	Tier 1	Tier 2	Tier 3
Recognizes/assesses/evaluates community linkages and relationships among multiple factors (or determinants) affecting health			
Identifies/establishes linkages with key stakeholders Collaborates with community partners to promote the health of the population/facilitates collaborations and partnerships to ensure participation of key stakeholders/ensures collaboration of key stakeholders through the development of formal and informal agreements			
Maintains partnerships with key stakeholders Uses group processes to advance community involvement Describes/distinguishes/integrates the role of governmental and non-governmental organizations in the			
delivery of community health service Identifies community assets and resources/negotiates for the use of community assets and resources through Memorandum of Understanding and informal agreements Gathers input from the community to inform the development of public health policy and programs/uses			
ensures community input when developing public health policies and programs Informs the public about policies, programs, and resources/promotes public health policies, programs and resources/defends public health policies/programs and resources  Evaluates the effectiveness of community engagement strategies			
6. Public Health Sciences Skills	Tier	Tier	Tier 3
Describes/discusses/critiques the scientific foundation of the field of public health ldentifies/distinguishes prominent events in the history of the public health profession/explains lessons to be	1   	2   	
learned from prominent events in the history of public health professionals Relates public health science skills to the Core Public Health Functions and Ten Essential Services of Public Health/incorporates the Core Public Health Functions and Ten Essential services of public health into the			
practice of public health services Identifies the basic public health sciences/applies the basic public health sciences to policies and programs Describes/conducts/integrates review of scientific evidence related to a public health issue, concern, or intervention			
Retrieves scientific evidence from a variety of text and electronic sources/synthesizes scientific evidence			
from a variety of text and electronic sources Discusses/determines/critiques the limitations of research findings Describes/determines the laws, regulations, policies and procedures for the ethical conduct of research/advises on laws, regulations, policies and procedures for ethical conduct of research			
Partners with other public health professionals in building the scientific basis of public health/contributes to			
building the scientific base of public health Establishes partnerships with academic and other organizations to expand public health science base and disseminate research findings			

7. Financial Planning and Management Skills	Tier 1	Tier 2	Tier 3
Describes the local, state and federal public health and health care systems/interprets/leverages interrelationships among government public health and health care systems for public health program management			
Describes/interprets/leverages the organizational structures, functions, and authorities of local, state and federal public health agencies			
Adheres to organization's policies and procedures/develops partnerships with agencies with authority over public health situations or issues			
Participates in the development of a programmatic budget/implements/manages the implementations of judicial and operational procedures of the body/unity that oversees public health organization operations Operates programs within current and forecasted budget constraints/develops a programmatic			
budget/defends programmatic and organizational budget Identifies strategies for determining budget priorities based on federal, state and local financial contributions/manages programs within current and forecasted budget constraints/ensures programs are managed within constraints			
Reports program performance/develops/critiques strategies for determining budget priorities based on federal, state, and local financial contributions			
Translates evaluation report information into program performance improvement action steps/evaluates program performance/determines budgetary priorities			
Contributes to the preparation of proposals for funding from external sources/uses evaluation results to improve performance/evaluates program performance  Applies basic human relations skills to internal collaborations, motivation of colleagues, and resolution of			
conflicts/uses evaluation results to improve performance  Demonstrates/applies public health informatics skills to improve program and business operations/approves proposals for external funding			
Participates in the development of contracts and other agreements for the provision of services/negotiates contracts and other agreements for providing services/applies basic human relations skills to internal collaborations, motivation of colleagues and conflict resolution			
Describes/uses/includes the use of cost effectiveness, cost-benefit, and cost-utility analyses affect programmatic prioritization and decision-making			
Incorporates data and information to improve organizational processes and performances Establishes performance management systems	_	_	
8. Leadership and Systems Thinking Skills	Tier 1	Tier 2	Tier 3
Incorporates ethical standards of practice as the basis of all interactions with organizations, communities, and individuals			
Describes how public health operates within a larger system/incorporates/integrates systems thinking into public health practice			
Participates with stakeholders in identifying key public health values and a shared public health vision as guiding principles for community action			
Identifies/analyzes/resolves internal and external problems that may affect the delivery of Essential Public Health Services			
Uses individual, team and organizational learning opportunities for personal and professional development/promotes individual, team and organizational learning opportunities/advocates for individual, team and organizational learning opportunities within the organization			
Participates in mentoring and peer review or coaching opportunities/establishes individual, team, organizational and other learning opportunities for the public health workforce, promotes mentoring, peer advising, coaching and other personal development opportunities for the public health workforce			
Participates/contributes in the measuring, reporting and continuous improvement of organizational performance/ensures the measuring, reporting and continuous improvement of organizational performance			
Describes the impact of changes in public health systems and larger social, political, and economic environment on organizational practice/modify organizational practices in consideration of changes in the public health systems and the larger social, political and economic environment on the organizational			
practices Ensures the management of organizational changes		_	

18. Is this training applicable to Emergency Preparedness competencies?

☐ Yes [Check up to 6 boxes from the list below]	☐ No [End form here]
Emergency Preparedness 1. Model Leadership Solve problems under emergency conditions Manage behaviors associated with emotional responses in self and others Facilitate collaboration with internal and external emergency response partners Maintain situational awareness Act within the scope of one's legal authority Demonstrate respect for all persons and cultures	
2. Communicate and Manage Information  Manage Information related to an emergency Use principles of crisis and risk communications Report information potentially relevant to the identification & control of an emergency through the chain of command Collect data according to protocol Manage the recording and/or transcription of data to protocol	
3. Plan for and Improve Practice  Contribute expertise to a community hazard vulnerability analysis (HVA)  Contribute expertise to the development of emergency plans  Participate in improving the organizational capacities (e.g., programs, plans, policies, laws and workforce training)  Refer matters outside of one's scope of legal authority through the chain of command	
4. Protect Worker Health and Safety  Maintain personal/family emergency preparedness plans  Employ protective behaviors according to changing conditions, personal limitation and threats  Report unresolved threats to physical and mental health through the chain of command	ns 🗆

<sup>\*\*\*</sup>THANKS FOR COMPLETING THIS FORM! SEND IT BACK TO THE PERSON WHO GAVE IT TO YOU\*\*\*

## **Appendix 5: Training Needs Assessment Executive Summary and Respondents' Demographics**

Rhode Island Department of Health: Workforce Training Needs Assessment Consultant Report – August 2012

## **Executive Summary**

The Connecticut-Rhode Island Public Health Training Center (CT-RI PHTC) conducted a self-assessment survey of workforce training needs for the Rhode Island Department of Health (HEALTH) in October and November, 2011. Following initial analysis of the data by CT-RI PHTC, HEALTH requested additional analysis and consultation on workforce training needs and opportunities. This report and recommendations are the result of this consultation.

There were 323 respondents, 78.8% of the eligible workforce at HEALTH. Analysis of the responses shows that department leadership is well-prepared in the eight core competency domains for public health practice and in the five competency domains of emergency preparedness. The second tier of public health workers (professionals with program management and/or supervisory responsibilities including developing, implementing or evaluating programs, maintaining community relations, managing timelines and work plans, and recommending public health policies) is generally well-prepared in the five domains of emergency-preparedness and in most domains for public health practice. Notably, half the second tier workers report they are underprepared in Domain 6, Public Health Science. The third tier of public health workers (those who carry out day-to-day tasks of the health department and are not in management roles) report more variable skill levels and the greatest needs for training.

### **Key Findings Tier 1 -- Entry Level** (45.9% of respondents)

### EMERGENCY PREPAREDNESS

- 19% lack of confidence in their ability to respond to an emergency incident
- 33% rate themselves on the low end for communicating and managing information
- 46% rate themselves on the low end in protecting worker health and safety
- 58% rate themselves low in planning for and improving practice in emergency incidents
- 20% describe themselves as "able to teach" skills in the domains except planning for and improving practice

### PUBLIC HEALTH COMPETENCY

- 50% rate themselves as proficient or able to teach Cultural Competence skills
- About one-third rank themselves as unskilled in domains 1 3, 5 and 8
- 43% rate themselves as unskilled in Domain 7, Financial Planning and Management
- 68% rate themselves as unskilled or limited knowledge or skill in Domain 6, Public Health Science

### Key Findings Tier 2 – Program/ Management Level (43.1%)

### EMERGENCY PREPAREDNESS

- 8% lack confidence in their ability to respond to an emergency incident
- 31% rate themselves on the low end for communicating and managing information
- 22% rate themselves on the low end in protecting worker health and safety
- 47% rate themselves low in planning for and improving practice in emergency incidents
- More than 25% describe themselves as "able to teach" skills in all domains except planning for and improving practice

### PUBLIC HEALTH COMPETENCY

- 70% rate themselves as proficient or able to teach Analytical and Assessment Skills
- Only 10% rate themselves as unaware or having very little knowledge in one or more of domains 1-5, 7 and 8
- 49% rate themselves as below proficiency in Domain 6, Public Health Science

### Key Findings Tier 3 -- Leadership Level (10.8%)

### **EMERGENCY PREPAREDNESS**

- 3% lack of confidence in their ability to respond to an emergency incident
- 27% report less than proficient skill and knowledge for the Domain of Planning for and Improving Practice

 26% report less than proficient skill and knowledge for Protecting Worker Health and Safety

### PUBLIC HEALTH COMPETENCY

- No one rates themselves as unaware or having very little knowledge in a public health domain
- 23% rate themselves as having limited knowledge of Domain 4 (Cultural Competence)
- 22% rate themselves as having limited knowledge of Domain 6 (Public Health Science)

In summary, the data and the key informant process all point to a need to focus on enhancing the knowledge and skills of the frontline workforce, encompassing basic knowledge of public health science and specific job-related skills in other domains. Training for Tier 2 and Tier 3 workers can be focused on updating general public health skills and supporting specific job-related updates. Currently, HEALTH relies on an informal process for managing workforce training and development. There are a number of opportunities for enhancing skills and knowledge within the department and in the community, but tracking participation and evaluating needs and successful completion is limited. This training needs assessment provides evidence that a more systematic approach to workforce training has potential to enhance effective public health practice and improve service delivery. Expanding the use of RI TRAIN to all departmental training management needs, not limiting it to emergency preparedness will help meet the training monitoring needs of HEALTH

CT-RI PHTC offers the following recommendations, and is prepared to work with HEALTH on developing training opportunities and managing RI TRAIN, the training management system.

## 1 Initiate a Health Department orientation to be completed in the first year of employment in the department

- Include self-directed learning components related to the division and the specific job assignment of new employees
- Include a "public health in Rhode Island 101" that introduces the competencies themselves,

 Use seminars and problem-based learning to cut across organizational divisions and employee tiers and build a sense of belonging to the enterprise of public health in Rhode Island

### 2 Invest in competency-based training for tier 1 workers

- Develop and use a job-based training plan in annual employee performance reviews
- Include core public health competencies in all training plans, with adaptation based upon primary work
- Focus on Public Health Science with application to specific job responsibilities and organizational unit/office
- Address Analysis and Assessment on a job-related basis and connect analysis skills to unit functions: consider problem-based learning
- 3 Train supervisors on the core competencies of public health, on using the core competencies in evaluating employee performance, and on setting training goals based on core competencies required for the worker's job assignment
  - Gain support from the Human Resources Department at the Office of Health and Human Services
  - Apply experiences from Emergency Preparedness Training
  - Use a formal training management system such as TRAIN
- 4 Address public health science competency through a training program building on the strong interest in the social determinants of health, with tier 2 employees as the primary audience
  - Address the needs of professionals without a public health degree
  - Provide refreshers to longer term professionals and involve them in problem-based teaching
  - Consider problem-based learning and reviews of evidence on current departmental issues, formalizing what is often done informally

-	ealth Sample, Workforce Assessment Survey, 2011	Sample (N=323)	Percen
Sex	Male Female	102 221	31.58 68.42
Tier level	Tier 1 (Entry Level)	146	45.91
	Tier 2 (Management Level)	137	43.08
	Tier 3 (Leadership Level)	35	11.01
Employment status	Full time	319	98.76
	Part time	4	1.24
Education level	High school	7	2.17
	Some college	46	14.29
	Associate/Technical/Vocational training/LPN	30	9.32
	Bachelor's degree or equivalent	96	29.81
	Graduate degree	143	44.41
Office affiliation	Director's Office	24	7.48
	Environmental Health/Regulation	93	28.97
	Community, Family Health and Equity	88	27.41
	State Medical Examiner's Office	9	2.80
	Public Health Laboratories	40	12.46
	Infectious Disease and Epidemiology	22	6.85
	Public Health Information	45	14.02
Area of public health practice	Administration and Management	28	8.70
	Chronic Disease	11	3.42
	Communication and Reportable Diseases	13	4.04
	Communication, Printing and Info Svcs	7	2.17
	Emergency Preparedness and Response	10	3.11
	Environmental Health	39	12.11
	Epidemiology, data, statistics, analysis	22	6.83
	Health Promotion and Education	19	5.90
	Information Technology and Informatics	10	3.11
	Laboratory Science and Svcs	39	12.11 4.04
	Maternal and Child Health	13	0.62
	Nursing	2	0.62
	Performance Management Project Management or Supervisor	19	5.90
	Regulation	50	15.53
	Support to all areas and branches	7	2.17
	Other	31	9.63
lob Category	Administrator	33	10.28
	Professional/para-professional	111	34.57
	Program Manager/Supervisor	88	27.41
	Technician	27	8.41
	Administrative Support	37	11.53
	Other	25	7.79
rs worked in public health	1-4 years	62	19.50
•	5-9 years	61	19.18
	10+ years	195	61.32
Yrs worked at HEALTH	1-4 years	87	27.27
	5-9 years	65	20.38
	10+ years	167	52.35
Yrs worked in current position	1-4 years	174	55.24
	5-9 years	80	25.40
	10+ years	61	19.37

Table A.1. 2: RI Department of Health, Tier 1 Sample, Workforce Assessment Survey, 2011

		Sample (n=146)	Percent
Sex	Male	36	24.66
	Female	110	75.34
Employment status	Full time	144	98.63
	Part time	. 2	1.37
Education level	High school	5	3.42
	Some college	33	22.60
	Associate/Technical/Vocational training/LPN	24	16.44
	Bachelor's degree or equivalent	49	33.56
	Graduate degree	35	23.56
Office affiliation	Director's Office	10	6.94
	Environmental Health/Regulation	41	28.47
	Community, Family Health and Equity	30	20.83
	State Medical Examiner's Office	3	2.08
	Public Health Laboratories	22	15.28
	Infectious Disease and Epidemiology	13	9.03
	Public Health Information	25	17.36
Area of public health practice	Administration and Management	11	7.53
Area of public fleater practice	Chronic Disease	2	1.37
	Communication and Reportable Diseases	9	6.16
	Communication, Printing and Info Svcs	3	2.05
	Emergency Preparedness and Response	4	2.74
	Environmental Health	21	14.38
	Epidemiology, data, statistics, analysis	10	6.85
	Health Promotion and Education	9	6.16
	Information Technology and Informatics	7	4.49
	Laboratory Science and Svcs	18	12.33
	Maternal and Child Health	3	2.05
	Nursing	1	0.68
	Performance Management	0	0.00
	Project Management or Supervisor	1	0.68
	Regulation	24	16.44
	Support to all areas and branches	3	2.05
	Other	20	13.70
Job Category	Administrator	3	2.05
Job Category	Professional/para-professional	64	43.84
	Program Manager/Supervisor	6	4.11
	Technician	21	14.38
	Administrative Support	31	21.23
	Other	21	14.38
Vdeadin muhita basikh			
Yrs worked in public health	1-4 years	42	29.17
	5-9 years 10+ years	38 64	26.39 44.44
Yrs worked at HEALTH	1-4 years	57	39.31
	5-9 years	36	24.83
	10+ years	52	35.86
Yrs worked in current position	1-4 years	88	61.11
•	5-9 years	36	25.00
	10+ years	20	13.89

	ealth, Tier 2 Sample, Workforce Assessment Survey	Sample (n=137)	Percen
Sex	Male Female	53 84	38.69 61.31
Employment status	Full time	136	99.27
	Part time	1	0.73
Education level	High school	2	1.47
	Some college	10	7.35
	Associate/Technical/Vocational training/LPN	6	4.41
	Bachelor's degree or equivalent	41	30.15
	Graduate degree	77	56.62
Office affiliation	Director's Office	9	6.57
	Environmental Health/Regulation	44	32.12
	Community, Family Health and Equity	44	32.12
	State Medical Examiner's Office	4	2.92
	Public Health Laboratories	14	10.22
	Infectious Disease and Epidemiology	5	3.65
	Public Health Information	17	12.41
Area of public health practice	Administration and Management	11	8.09
	Chronic Disease	8	5.88
	Communication and Reportable Diseases	2	1.47
	Communication, Printing and Info Svcs	3	2.21
	Emergency Preparedness and Response	5	3.68
	Environmental Health	15	11.03
	Epidemiology, data, statistics, analysis	10	7.35
	Health Promotion and Education	9	6.62
	Information Technology and Informatics	3	2.21
	Laboratory Science and Svcs	15	11.03
	Maternal and Child Health	8	5.88
	Nursing	1	0.72
	Performance Management	1	0.74
	Project Management or Supervisor	16	11.76
	Regulation	21	15.44
	Support to all areas and branches	1	0.74
	Other	. 7	5.15
Job Category	Administrator	14	10.29
	Professional/Para-professional	38	27.94
	Program Manager/Supervisor	74	54.41
	Technician	5	3.68
	Administrative Support	3	2.21
	Other	. 2	1.47
Yrs worked in public health	1-4 years	19	14.07
	5-9 years	19	14.07
	10+ years	97	71.85
Yrs worked at HEALTH	1-4 years	28	20.74
	5-9 years	24	17.78
	10+ years	83	61.48
Yrs worked in current position	1-4 years	71	53.38
	5-9 years	30	22.56
	10+ years	32	24.06

## Appendix 6. Limitations of the RI Training needs assessment survey (Report Excerpt)

- 1. The data presented in this report are from a self-assessment survey. Respondents were asked to rate their knowledge and skill to carry out the full range of public health work, while their own job may be concentrated in one or two domains. Employees without direct experience in a particular domain may under- or over- estimate their competence, or may skip questions they do not find relevant to their work assignment. One cannot assume that assessing one's competence low translates into a statement of incompetence in one's assigned job.
- 2. The data used in this report are anonymous, and cannot be attached to specific job assignments. HEALTH wanted to maximize the response rate and felt strongly that anonymity would accomplish that. The data lead support conclusions about the priorities for training across the department. Individual training plans building job-related competencies are clearly needed. However, the large themes which emerge, such as a widespread concern about knowledge of public health sciences and lack of comfort in analysis of community health data point to important opportunities to improve skills that enable most employees to function as "ambassadors for public health".
- 3. Workforce training needs assessments are not frequently done by all-employee surveys. The current methods are more commonly market surveys assessing training interests, key-informant interviews of human resources managers, or focus groups selected around particular topics or domains. There is very little comparative data available. Published work in the field focuses on maximizing responses, and tends to address training needs rather than self-assessed proficiency. As state agencies seek accreditation, more comparable data sets may be available.

## Appendix 7. HEALTH's Quality Improvement Plan, 2013

RHODE ISLAND'S QUALITY IMPROVEMENT PLAN WAS

COMPLETED IN JUNE 2013 AND CONTAINS 33 PAGES. THE FULL

DOCUMENT IS AVAILABLE TO ALL STAFF IN THE DEPARTMENT'S

INTRANET, AND CAN BE MADE AVAILABLE TO NON-STAFF

MEMBERS BY CONTACTING

MAGALY.ANGELONI@HEALTH.RI.GOV.

### **Appendix 8. Proposed Standard Training Evaluation**

### **Rhode Island Training Evaluation**

Thank you for providing feedback about your experience in training sponsored by the Rhode Island Department of Health (HEALTH). The information from these questions will be used to inform HEALTH about trainees' opinions and reactions toward different training courses and to improve the quality of our training programs.

The evaluation results will be anonymous.

1.	To what extent were the course's <u>learning objectives clearly defined</u> ?	
	Not at all	1
	A little	
	Somewhat	_
	Very much	
	Don't know[	
2.	To what extent did the <b>content match</b> the learning objectives?	
	Not at all	
	A little[	]
	Somewhat[	]
	Very much[	]
	Don't know	]
3.	To what extent was the content <u>easy to understand</u> ?	
	Not at all	]
	A little[	
	Somewhat[	]
	Very much	]
	Don't know[	]
4.	Would you say the level of the training was:	
	Too basic[	
	Somewhat basic[	
	Appropriate[	
	Somewhat advanced[	
	Too advanced[	]
5.	Are you aware of the Public Health <u>core competencies</u> the course was related to?	
	No[	
	Yes[	]
6.	If <u>materials</u> were distributed during the training, how useful were they?	
	Not at all[	
	A little[	
	Somewhat[	
	Very much[	
	No materials were distributed	1

7.	How applicable was the course content to your <u>current job</u> ?
	Not at all
	A little
	Somewhat
	Very much
	Don't know
	Doll t Kilow[ ]
8.	To what extent will you have the <u>opportunity</u> to apply what you learned in your current job?
	Not at all
	A little
	Somewhat
	Very much
	Don't know
9.	To what extent will you have the <u>support</u> to apply what you learned in your current job?
	Not at all
	A little
7. 8. 9. 10.	Somewhat
	Very much
	Don't know
	Doil t Kilow[ ]
10.	To what extent will you have the <u>tools</u> to apply what you learned in your current job?
	Not at all
	A little
	Somewhat[ ]
	Very much
	Don't know
11.	How do you <u>plan to use</u> what you learned in this training?
12.	Was the training area conducive to learning?
	No
	Yes
13.	Would you consider the training <u>student-centered</u> ?
	No[]
	Yes[]
14.	Was the training respectful of <u>cultural diversity</u> ?
	No[]
	Yes
	Not applicable to this training

15.	To what extent was there <u>sufficient time</u> to cover the training content?
	Not at all
	A little
	Somewhat
	Very much
	Don't know
16.	To what extent was there <u>sufficient time for questions</u> about the training content?
	Not at all [ ]
	A little
	Somewhat
	Very much
	Don't know
17.	If anything, what could this training have included to be <u>better structured</u> ?
18.	Did the trainer display a clear understanding/knowledge of the subject matter?
	No[]
	Yes
19.	How effective was the training content presented?
	Ineffective[]
	Somewhat effective
	Effective
	Very effective[ ]
19.	The level of <u>interaction between the presenter</u> and other attendees was:
	Too little
	Somewhat
	Appropriate
	Too much
20.	What were the <u>interactive techniques</u> used by the trainer? Mark all that apply
	None
	Brainstorming
	Case study[]
	Demonstration
	Discussion panel
	Games
	Group discussion (small/large)
	Problem-solving exercises (individual/group)[ ]
	Role-play for skill practice
	Technology (media/video)
	Question/Answer session
	Other
21.	If you chose "other" above, what interactive technique(s) was used by the trainer?

Was the <u>trainer responsive</u> to participants?
No
What worked?
What worked but could have been better?
What didn't work?
What was missing?
How would you describe the ease of <u>registration</u> on the TRAIN Learning management system?
Very good       [ ]         Good       [ ]         Poor       [ ]         Very poor       [ ]
How would you describe the ease of <u>navigating</u> through TRAIN?
Very good       [ ]         Good       [ ]         Poor       [ ]         Very poor       [ ]
How would you describe the accessibility of <u>assistance</u> with TRAIN?
Very good       [ ]         Good       [ ]         Poor       [ ]         Very poor       [ ]         Did not require assistance       [ ]
Please share any additional comments/suggestions you have about this training

Thank you for your honest input! The evaluation is finished.

Rhode Island Department of Health

November 2013

### **Appendix 9. Proposed Archived WEBINAR Training Evaluation**

### **Archived Webinar Training Evaluation**

Thank you for providing feedback about your experience in training sponsored by the Rhode Island Department of Health (HEALTH). The information from these questions will be used to inform HEALTH about trainees' opinions and reactions toward different webinars and to improve the quality of our training programs.

The evaluation results will be anonymous.

Not at all
A little
Somewhat
Very much
Don't know
To what extent did the <u>content match</u> the learning objectives?
Not at all[ ]
A little[]
Somewhat
Very much
Don't know
To what extent was the content <u>easy to understand</u> ?
Not at all[ ]
A little[]
Somewhat[]
Very much
Don't know
Would you say the level of the training was:
Too basic
Somewhat basic
Appropriate
Somewhat advanced
Too advanced
Are you aware of the Public Health <u>core competencies</u> the course was related to?
No[]
Yes[ ]
Not applicable
If <u>materials</u> were available during the training, how useful were they?
Not at all
A little
Somewhat
Very much
No materials were available

7.	How <u>applicable</u> was the course content to <u>your current job</u> ?	
	Not at all	1
	A little	
7. 8. 9.	Somewhat	-
	Very much	
	Don't know[	
8.	To what extent will you have the <u>opportunity</u> to apply what you learned in your current job	b?
	Not at all[	1
	A little	
	Somewhat	
	Very much	_
	Don't know	
9.	To what extent will you have the <u>support</u> to apply what you learned in your current job?	
	Not at all[	,
	A little	
	Somewhat	
	Very much	
	Don't know[	]
10.	To what extent will you have the <u>tools</u> to apply what you learned in your current job?	
10.	Not at all	1
	A little	
	Somewhat	_
	Very much	
	Don't know[	
11.	How do you plan to use what you learned in this training?	
12.	Would you consider the training <u>student-centered</u> ?	-
	No[	1
	Yes	
13.	Was the training respectful of <u>cultural diversity</u> ?	
	No[	1
	Yes	_
	Not applicable to this training	
14.	To what extent was there <u>sufficient time</u> to cover the training content?	
	Not at all	1
	A little	
	Somewhat	
	Very much	J
	Pon't know	J

15.	Were resources/contact information provided to address additional questions about the training?
	No
	Yes
16.	If anything, what could this training have included to be better structured?
17.	Did the trainer display a clear understanding/knowledge of the subject matter?
	No[ ]
	Yes[ ]
18.	How effective was the training content presented?
	Ineffective
	Somewhat effective
	Effective
	Very effective
19.	How would you describe the ease of $\underline{\textbf{registration}}$ on the TRAIN Learning management system?
	Very good
	Good
	Poor
	Very poor
	Not applicable
20.	How would you describe the ease of <u>navigating</u> through TRAIN?
	Very good
	Good[ ]
	Poor
	Very poor
	Not applicable[ ]
21.	How would you describe the accessibility of <u>assistance</u> with TRAIN?
	Very good
	Good[ ]
	Poor[ ]
	Very poor[ ]
	Did not require assistance[ ]
	Not applicable
22.	Please share any additional comments/suggestions you have about this training

Thank you for your honest input! The evaluation is finished.

Rhode Island Department of Health

November 2013

Page 3 of 3

## Appendix 10. DRAFT New Employee Checklist to pilot in 2014

	New	Employe	e In	formation				
Employee Name:			Sta	rt Date:				
Program:			Ph	one Number:				
Job Title:			Su	pervisor Name:				
Room:				boarding Facilita F) Name:	tor			
Union Affiliate (if applicable):				y Administrator me:				
Union Representative Name (if applicable):				AIN Point of Cont me:	act			
		Before F	irst	t Day				
Ta	Responsible Contact			Employee	Date			
		Title		Name	Initia	als	Initials	
Send Welcome Packet to new employee Packet includes: welcome letter, org chart, dress code policy, start time/work schedule, phone list, state calendar, information on how to obtain a badge, department & job descriptions, name of supervisor Onboarding Facilitator, point of contact & location for the first day, directions to HEALTH & parking instructions.		Supervisor	r					
Office of Health and Hu Resource Paperwork co Paperwork (benefits, retirement, wages, hours & working condition	ıman Service/Human mpleted (FTE) (HR steps, insurance, probation,	Human Resources						
Complete user request f								
approvals: computer, Intrane access set up (2-4 weeks prior to s	start date)							
Develop a work plan for employee's first week								
Receive ID badge								
Ask any questions before first day		Supervisor	r					
Ensure the set up of work station is ready								
Arrange for office suppl	ies							
Arrange for keys, pass code, or access cards								

Task Responsible Contact Employee Date							
Task	Title	Initials	Initials	Date			
Meet supervisor and Onboarding Facilitator Complete any remaining paperwork Review office hours, attendance, break policies, flextime, working hours, working		Name					
weeks, non-standard, non-exempt employee, etc. Review leave/call out process							
Sign-in/Out sheet or board (if applicable)	Supervisor						
View/complete set up of office/desk/workstation (computer, phone, necessary supplies, W.B. Mason ordering procedures, TRAIN account)  Receive HEALTH handbook (Intranet): (Confidentiality Policy, Emergency Procedures/Safety Plan, Capitol Police, Special Preparedness, Adverse Weather Policy, Internet/Telephone Use Policies, Smoking Policy, Drug Policy, Security Policy, Healthy Food Policy, AV use)  Sign policy for use of mobile devices	-						
(cell phones, laptops, pagers, GPS) (if applicable)							
Receive a tour of the Department to include: Meet staff in neighboring offices, Fire extinguishers, exits, and alarms, locations of supplies/supply closet, Training room, secured storage area for personal items, location of restrooms, break areas, location & care of office equipment (copier & fax machine), name & phone number of building maintenance and Capitol police, Department bulletin boards, Rules regarding food/coffee (per team's discretion), individual mailboxes, mailroom (incoming and outgoing), print shop, distribution center, snack bar, Cafeteria at DOA, Information Line, Lab/Medical examiner, Cannon Building, Automated External Defibrillator (AED) location  Review GroupWise tutorial/ IT support	Onboarding Facilitator						
procedures  Devices how to request IT essistance							
Review how to request IT assistance (Do It Service Desk)							
Set up voicemail/passwords							
Review how to answer telephone/take	1						

First Week								
Task Responsible Contact: Employee Date								
	Title	Name	Initials	Initials				
Meet with supervisor to ask questions, address concerns, and discuss goals/objectives (e.g. SMART goals/objectives)								
Create an orientation calendar with division/program meetings Receive essential job skill training and reference guide	Supervisor							
Review phone and contact list of contacts to be aware of who to address questions, provide list of acronyms used by the department  Order business cards/name plate/any								
additional needed supplies/review WB  Mason orders (if applicable)  Review departmental structure, key staff, &								
staff roles: Department org chart, Office of Health and Human Services org chart, Office of Training and Development, Lab, Division(s) org chart/Centers, Team(s) org chart, Executive Committee	Chief of Staff							
Review incentive credit, Office of Training and Development classes  Continue with Tour of HEALTH (if needed)								
Review Directors Weekly Report	Supervisor							
Review iFolder/Shared Drive	Onboarding							
Learn how to navigate the Intranet	Facilitator							
Review purchasing policies/process								
Review travel policies and request procedures	Key Administrator							
Review in-state mileage reimbursement process	(or designee)							
Request employee ID number								
Review payroll/time sheets	Supervisor/ Key Administrator							
Set up TRAIN account and review expected trainings: ICS 100, 200, 546, 700, 800, Accreditation webinar, Performance management (supervisor/manager), Quality Improvement webinar, Integration/collaboration webinar, Watch 8 Core Competency videos (DCFHE), Watch cultural competency video, Watch sexual harassment refresher video, Program Specific Trainings	TRAIN Point of Contact							
Meet with Union Representative: Contract, Dues/Fees, Tuition Reimbursement	Union Representative							

First Month								
Task	Respo	nsible Contact		Employee	Date			
	Title	Name	Initials	Initials				
Meet with supervisor to ask questions/address concerns (weekly or biweekly)  Additional training as needed for essential job skills. Prepare and draft a personal	Supervisor							
training plan.								
Dr. Fine meet and greet (if applicable)	Chief of Staff							
Develop and discuss new employee's work plan, goals, objectives (e.g. SMART goals/objectives), time-frames.	Supervisor							
Review all training opportunities, resources, contacts and ask any questions as needed	TRAIN Point of Contact							

First 2 Months								
Task Responsible Contact Employ								
	Title	Name	Initials	Initials				
Discuss new employee's work plan, goals, objectives (e.g. SMART goals/objectives), time-frames.  Meet with Supervisor to ask questions/address concerns	Supervisor							
Reminder to complete and submit the 2 month review form								

First 4 Months								
Task	Respo	nsible Contact		Employee	Date			
	Title	Name	Initials	Initials				
Discuss new employee's work plan, goals, objectives (e.g. SMART goals/objectives), time-frames.  Meet with Supervisor to ask questions/address concerns  Reminder to complete and submit the 4 month review form	Supervisor							
Review all training opportunities, resources, contacts and ask any questions as needed	TRAIN Point of Contact							

First 6 Months								
Task	Responsible Contact			Employee	Date			
	Title	Name	Initials	Initials				
Discuss new employee's work plan, goals, objectives (e.g. SMART goals/objectives), time-frames.  Meet with Supervisor to ask questions/address concerns  Reminder to complete and submit the 6 month review form	Supervisor							
Review all training opportunities, resources, contacts and ask any questions as needed	TRAIN Point of Contact							

First Year								
	Respo	Employee						
Task	Title	Name	Initials	Initials	Date			
Discuss new employee's work plan, goals, objectives (e.g. SMART goals/objectives), time-frames.								
Meet with Supervisor to ask questions/address concerns	Supervisor							
Celebrate one year mark of job								
Participate in new employee survey of the orientation process	Onboarding Facilitator							
Attend Operations Management trainings	Management	TBD						
Attend division/center orientation trainings	Services	150						
Complete IS 100b, 200b, 546, 700a, 800b, ICS at HEALTH online courses								
Complete/attend all other required department/division/center trainings as indicated on the Department's training plan	TRAIN Point of Contact							

Signatures:		
	Employee	Date
Signatures:		
<i>-</i>	Employee's Supervisor	Date

## Be sure to set SMART goals...

## Specific, clear and understandable

• The goal should state the exact level of performance expected. Goal objectives should address the five Ws... who, what, when, where, and why. Make sure the goal specifies what needs to be done with a timeframe for completion.

easurable, verifiable and result-oriented

• To achieve objectives, people must be able to observe and measure their progress.

Attainable, yet sufficiently challenging

• Goals should challenge people to do their best, but they need also be achievable.

Relevant to TCW's mission

• Goals need to pertain directly to the performance challenge being managed.

Time-bound with a schedule and specific milestones

• Deadlines help people to work harder to get a task completed.



### X. ENDNOTES

<sup>i</sup> For more information <a href="http://www.phf.org/resourcestools/pages/core\_public\_health\_competencies.aspx">http://www.phf.org/resourcestools/pages/core\_public\_health\_competencies.aspx</a>.

iiihttp://www.phf.org/programs/preparednessresponse/Pages/Public Health Preparedness a nd\_Response\_Core\_Competencies.aspx

- iv The competencies are listed as they are officially called by their creators, the Council on Linkages between Academia and Public Health Practice. In this table, some examples of training that relates to each competency are listed for a practical illustration, but the list is not meant to be all inclusive, as many other training topics do relate to the competencies.
- va) I'm unaware, or have very little knowledge of the skill; b) I have heard of it; limited knowledge and/or ability to apply the skill; c) I am comfortable with knowledge or ability to apply the skill; d) I am very comfortable, an expert; could teach this to others.
- vi From the "Getting on Board", a model for integrating and engaging new employees, Partnership for Public Service, May 2008.
- vii http://www.phf.org/resourcestools/pages/core\_public\_health\_competencies.aspx
- viii http://www.cdc.gov/stltpublichealth/nphii/about.html
- ix Definition from "Getting on Board, a model for integrating and engaging new employees", issued by the Partnership for Public Service, May 2008.

<sup>&</sup>quot;http://www.phf.org/resourcestools/pages/core public health competencies.aspx.

x http://www.cdc.gov/stltpublichealth/aboutostlts/